	Owner:		Phone:		Permit No: 941 088
Owner Address:	Leasee/Buyer's Name:	Phone:	Busines	sName:	9 21 000
Contractor Name:	Address:	Phone	<b>:</b>		PERMIT ISSUED
Past Use:	Proposed Use:	COST OF WOR	K:	PERMIT FEE: \$	
		FIRE DEPT.	Approved Denied	INSPECTION: Use Group: Type:	OCT   1994
		Signature:	Demed	Signature:	CITY OF PORTLAND
Proposed Project Description:			CTIVITIE	ES DISTRICT (P.U.D.)	Zoning Approval:
, and the second	· ·	Action:	Approved		☐ Special Zone or Reviews: ☐ ☐ Shoreland
			Denied		□ □ Wetland □ Flood Zone
		Signature:		Date:	□ Subdivision □ Site Plan maj □ minor □ mm □
3. Building permits are void if work is not starte tion may invalidate a building permit and st	op all work	-			☐ Variance ☐ Miscellaneous ☐ Conditional Use ☐ Interpretation ☐ Approved ☐ Denied
	\ \frac{P}{\tau}	ERMIT ISS	VED		Historic Preservation  Not in District or Landmark  Does Not Require Review
		VITH LEIM			☐ Requires Review
		ERMIT ISS VITH LET			☐ Requires Review  Action:
I hereby certify that I am the owner of record of the authorized by the owner to make this application if a permit for work described in the application areas covered by such permit at any reasonable has been applicated.	CERTIFICATION  the named property, or that the proposed we as his authorized agent and I agree to consistency in the code official's a	ork is authorized by th nform to all applicabl uthorized representati	ne owner of e laws of the ve shall ha	record and that I have be	□ Requires Review  Action: □ Approved   □ Approved with Conditions   □ Denied

White-Permit Desk Green-Assessor's Canary-D.P.W. Pink-Public File Ivory Card-Inspector

RESPONSIBLE PERSON IN CHARGE OF WORK, TITLE

CEO DISTRICT 6

Applicant: Astarita

Date: 9-15-94

Address: 51 Woods Rd Peaks Island

Assessors No.: 92-6, 23, 30, 32

### CHECK LIST AGAINST ZONTNG ORDTNANCE

Date -

Zone Location - I R 1

Interior or corner lot -

Use - Jungle

Sewage Disposal - subrunfacl

Rear Yards - 30'neg

Side Yards - 20' neg

Front Yards - 30' nog.

Projections - Monl

Height -

Lot Area - 144,57/

Building Area - OK

Area per Family - entire

Width of Lot - 274/

Lot Frontage - OK

Off-street Parking -

Loading Bays - NA

Site Plan -

Shoreland Zoning -

Flood Plains -

#### BUILDING PERMIT REPORT

DATE: 6/00T/94 Address 5/Woods Rd Peaks Island
REASON FOR PERMIT: To Construct a 1 family n/1car
garage BIDG. OWNER: Arthur & Peggy Astarita
CONTRACTOR: Paul Erico APPROVED: VI, Z, 3, 7,8,9,10,13,10  PERMIT APPLICATE:
PERMIT APPLICATE:

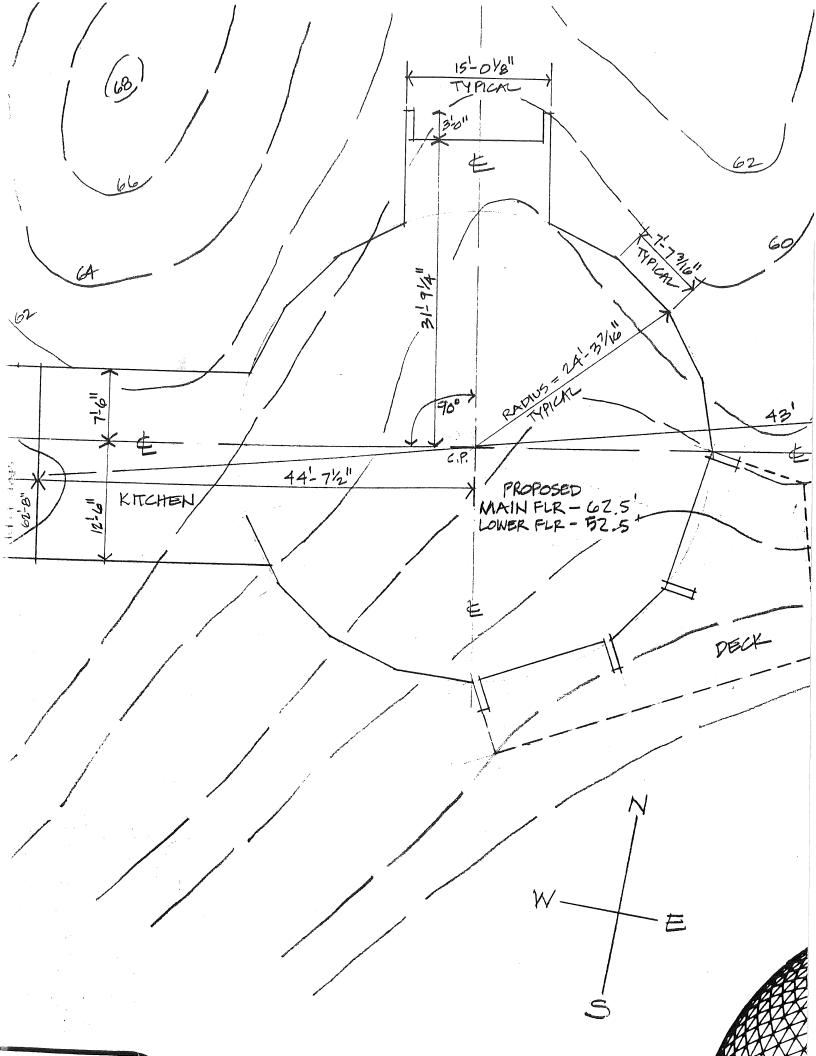
#### CONDITION OF APPROVAL OR DENIAL:

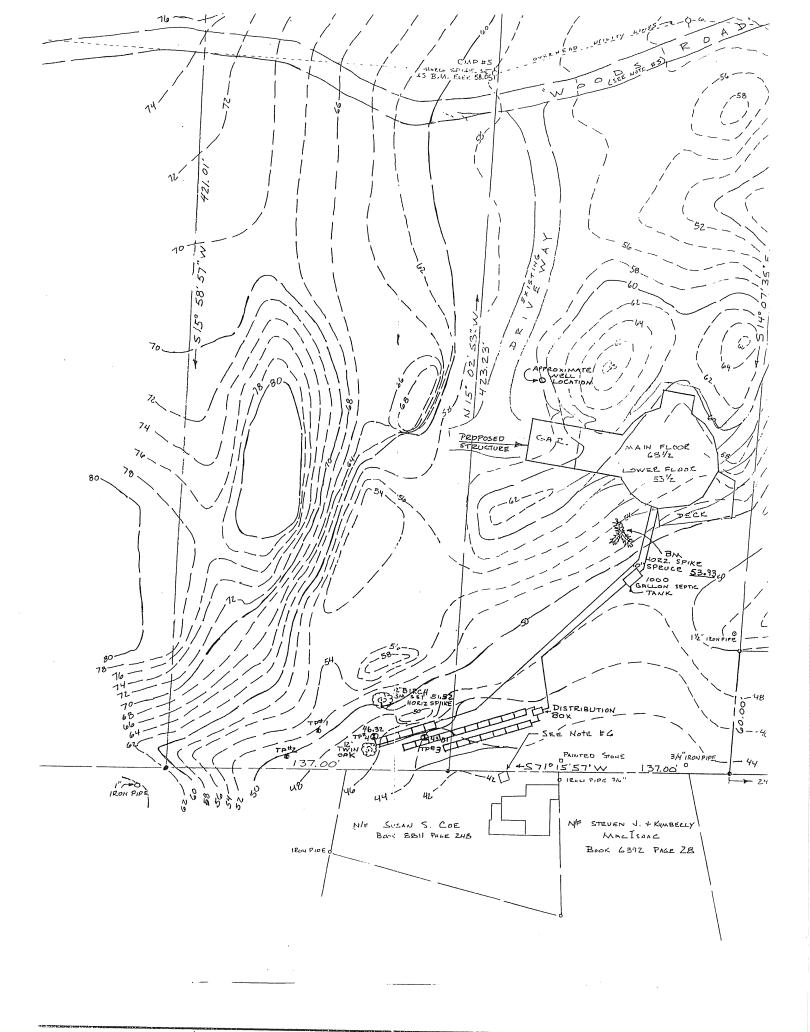
- X1. Before concrete for foundation is placed, approvals from Public Works and Inspection Service must be obtained. (\*a 24 hour notice is required prior to inspection).
- ★2. Precaution must be taken to protect concrete from freezing.
- - 4. All vertical openings shall be enclosed with construction having a fire rating of at least one (1) hr., including fire doors with selfclosers.
  - 5. Each apartment shall have access to (2) separate, remote and approved means of egress. A single exit is acceptable when its exits directly from the apartment to the building exterior with no communications to other apartment units.
  - 6. The boiler shall be protected by enclosing with one (1) hour fire rated construction including fire doors and ceiling, or by providing automatic extinguishment. Sprinkler piping serving not more than six sprinklers may be connected to a domestic water supply system having a capacity sufficient to provide 0.15 gallons per minute, per square foot of floor throughout the entire area. An indication shut-off valve shall be installed in an accessible locations between the sprinkler and the connection to the domestic water supply. Minimum pipe size shall be 3/4 inch copper or 1 inch steel. Maximum coverage area of a residential sprinkler is 144 sq. ft. per sprinkler.
- 7. Every sleeping room below the fourth story in buildings of Use Groups R and I-1 shall have at least one operable window or exterior door approved for emergency egress or rescue. The units must be operable from the inside opening without the use of special knowledge or separated tools. Where windows are provided as a means of egress or rescue, they shall have a sill height not more than 44 inches (1118mm) above the floor. All egress or rescue windows from sleeping room must have minimum net clear opening height dimension shall be 24 inches (610 mm). The minimum net clear opening width dimension shall be 20 inches (508 mm) and a minimum net clear opening of 5.7 sq.ft.
- \*8. This permit does not preclude the applicant from obtaining any license needed from the City Clerk's office.

- # 9. All single and multiple station smoke detectors shall be of an approved type and shall be installed in accordance with the provisions of the building code 919.3.2 (BOCA National Building Code 1993), and NFPA 101 Chapter 18 & 19. (smoke detectors shall be installed and maintained at the following locations):
  - 1. In the immediate vicinity of bedrooms;
  - 2. In all bedrooms;
  - 3. In each story within a dwelling unit, including basements.
- 10. Private garages located beneath habitable rooms in occupancies in Use Group R-1, R-2, R-3 or I-1 shall be separated from adjacent interior spaces by fire partitions and floor/ceiling assembly which are constructed with not less than 1-hour fire resisting rating. Private garages attached side-by-side to rooms in the above occupancies shall be completely separated from the interior spaces and the attic area by means of 1/2 inch gypsum board or the equivalent applied to the garage side. (Chapter 4 section 407.0 of the BOCA/1993)
  - 11. Guardrails & Handrails A guardrail system is a system of building components located near the open sides of elevated walking surfaces for the purpose of minimizing the possibility of an accidental fall from the walking surface to the lower level. Minimum height all Use Group 42", except Use Group R which is 36". In occupancies in Use Group A, B, H-4, I1, I-2 M and R and public garages and open parking structures, open guards shall have balusters or be of solid material such that a sphere with a diameter of 4" cannot pass through any opening. Guards shall not have an ornamental pattern that would provide a ladder effect.
  - 12. All exit signs, lights and means of egress lighting shall be done in accordance with Chapter 10, section & subsections 1023. & 1024.0 of the City's building code. (The BOCA National Building Code/1993)
- X13. Stair construction in Use Group R-3 & R-4 is a minimum of 9" tread and 8-1/4" maximum rise.
- \*14. Headroom in habitable space is a minimum of 7'6".
  - 15. The minimum headroom in all parts of a stairway shall not be less than 80 inches.
- 16. All construction and demolition debris must be disposed at the City's authorized reclamation site. The fee rate is attached. Proof of such disposal must be furnished to the office of Inspection Services before final Certificate of Occupancy is issued or demolition permit is granted.
  - 17. Section 25-135 of the Municipal Code for the City of Portland states "No person or utility shall be granted a permit to excavate or open any street or sidewalk from the time of November 15 of each year to April 15 of the following year".
  - 18. The builder of a facility to which Section 4594-C of the Maine State Human Rights Act, Title 5 MRSA refers, shall obtain a certification from a design professional that the plans of the facility meet the standards of construction required by this section. Prior to commencing construction of the facility, the builder shall submit the certification to the Division of Inspection Services.

P. Samuel Hoffses Chief of Inspections

/dmm 01/14/94(redo w/additions)





37955 BRIDGE RD. NORTH BRANCH, MN 55056 PHONE 612/674-4292

SAM-

HERE IS THE INFORMATION YOU REQUESTED FOR PEGGY AND ARTHURE ASTARITA RESIDENCE AT 51 WOODS ROAD.

GRADE ELEVATION AT FRONT DOOR = 51-0" MAIN FLOOR ELEVATION = 52'-6"

TOP OF DOME + VIEW CUPOLA = 83'-2"

HEIGHT OF DOME FROM FINISHED FLOOR =

30'-8"

- KEN JOHNSON

52 6" 30'8"



Member: NATIONAL DOME COUNCIL

Member: NATIONAL ASSOC, OF HOME BUILDERS

Professional Affiliate: MINNESOTA SOCIETY AMERICAN INSTITUTE OF ARCHITECTS

37955 BRIDGE RD. NORTH BRANCH, MN 55056 PHONE 612/674-4292

TO: SAM HOFFSES

RE: PEGGY + ART ASTARITA

RESIDENCE

SAM-

THE DOME CONSTRUCTION IS MADE UP OF A SUPER-WAL WOOD STRUT SYSTEM AND ANCHORED BY THE PATENTED NATURAL SPACES SUPER-LOK HUB AND SLEEVE CONNECTION SYSTEM.

3/4" THE EXTERIOR GRADE PLYWOOD COVERS THE FRAME WORK W/ 30 lb. BUILDING FELT AND

THE DOME IS INSULATED WITH BATT INSULATION
TO A REFACTOR OF 55. THE INTERIOR
RECIEVES A POLY VAPOR RETARDER AND THEN
IX8 TYG WOOD FINISH

THE INTERIOR OF THE HOUSE IS FINISHED CONSTRUCTION.

IF YOU HAVE ANY QUESTIONS PLEASE DO NOT HESITATE TO CALL ME.

THANKS, KEN JOHNSON

Member: NATIONAL DOME COUNCIL

Member: NATIONAL ASSOC. OF HOME BUILDERS

Professional Affiliate: MINNESOTA SOCIETY AMERICAN INSTITUTE OF ARCHITECTS

# CITY OF PORTLAND, MAINE M E M O R A N D U M

TO:

Sam Hoffses, Director of Inspection Services

FROM:

Charles A. Lane, Associate Corporation Counsel

Ext. 8480

DATE:

September 20, 1994

RE:

Subsurface Wastewater Disposal System Application - Woods

Road, Peaks Island

I am returning the above-described application to you.

You had asked me to review it because it bore the signature of William B. Goodwin and opposite his signature was the date August 31, 1994.

It is my understanding that, since the application is twelve years old, you will require a new application.

Obviously, Mr. Goodwin's connection with the new application will be governed by the arbitrator's decision and award dated October 20, 1990. A copy of that decision is attached hereto.

Charles A Tax

Charles A. Lane Associate Corporation Counsel

Enclosure CAL:rlj



Planning and Urban Development Joseph E. Gray Jr. Director

#### CITY OF PORTLAND

October 11, 1994

RE: 51 Elizabeth St., Peaks Island

Mr. & Mrs. Arthur Astarita 13 Elizabeth St. Peaks Island, ME 04108

Dear Sir:

Your application to construct a geodesic dome single family dwelling with garage has been reviewed and a permit is herewith issued subject to the following requirements: This permit does not preclude the applicant from meeting applicable State and Federal laws.

No Certificate of Occupancy can be issued until all requirements of this letter are met.

Site Plan Review Requirements
Inspection Services Approved William Giroux
Public Works Approved with condition (see attached) O. McCullough

Building Code Requirements

- 1. Please read and implement items 1, 2, 3, 7, 8, 9, 10, 13, 14 and 16 of the attached building permit report.
- 2. The total height of the structure can not exceed 35 feet. This measurement is from grade to top of structure.

If you have any questions regarding these requirements, please do not hesitate to contact this office.

sincerely,

. Same Hoffses

Chief of Inspection Services

/el

cc: William D. Giroux, Zoning Administrator Owens McCullough, Planning

## CITY OF PORTLAND, MAINE SITE PLAN REVIEW

## **Processing Form**

Applicant	1 4		· · · · · ·	. , 1	- 2								Dat	e		
Mailing Address				- ! ;				Addres	ss of P	ropose	ed Site	<u> </u>	1 1 13	<u> </u>		
Proposed Use of Site					endender de	-			dentifie	•			rs Ma	ns		
Acreage of Site /	Groun	d Floo	r Cove	rage	. v <sup>a</sup>	4_			g of Pro			2.70				
Site Location Review	(DEP)	Requ	red:	; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ;	Yes	1515	) )No					umhe	r of Fl	oore		
Board of Appeals Act	ion Re	quired	:	( )	Yes	(	) No									
Planning Board Action						(	) No		÷ č							
Other Comments:												45   1	10.11			
Date Dept. Review Du						-	. 'i ↔	7 J v+	· / : \ /							
				- ' '	- ay i 											
				PUB	LIC V	VORK	S DE	PART	MENT		EW/ Date R	1/92 eceive	<del> </del>			
APPROVED APPROVED CONDITIONALLY DISAPPROVED	TRAFFIC	4 O Access	CURB CUTS	ROAD WIDTH	PARKING	SIGNALIZATION	TURNING MOVEMENTS	PICHTING	CONFLICT WITH CITY CONSTRUCTION PROJECT	DRAINAGE	SOIL TYPES	SEWERS	CURBING	SIDEWALKS	OTHER	CONDITIONS SPECIFIED BELOW REASONS SPECIFIED BELOW
REASONS:	Separa															2"

PUBLIC WORKS DEPARTMENT COPY

## CITY OF PORTLAND, MAINE SITE PLAN REVIEW

## **Processing Form**

	ır & Peggy Astarita								8/31/94										
Applicant 13 Elizabeth St- Peaks Island, ME 04108							8 (	Date 51 Woods Rd- Peaks Isl											
Mailing Address 1-fam dwlg w							-		Addr	ess c	of Pro	pose	d Site	e P	еак	5 15	<u> </u>	-	
Proposed Use of Site		qe	ode	Sic	doi	n e	7		Site	Ident	ifier(	s) fro	m As	sess	ors M	ans			
3.3 acres / Acreage of Site / Gre	5 C	Floor	di.	amet erage	cer					9 ng of	2 - G	-23.	30,	32		———			
and the second s		& 2	0'x	45	e x	tens	ion			ng or	·								
Site Location Review (D		•					(	√ No No											
Board of Appeals Action Planning Board Action I	•				) Ye		(	) No ) No			I (	otal F	loor	Area <sub>.</sub>					
Other Comments:							` son:			is	Johi	n s o r	n (d	esi	gne	r)			
Date Dept. Review Due:										3 <b>-</b> 7									
Date Dept. Neview Buc.			M			 view	ı												
														_					
			В			G DE ot inc								W					
				(50	C3 11	ot inc	iuue	Tevie	W OI	COHS	tructi	on pi	alis)						
☐ Use does NOT comp	ly wit	h 70	nina (	) rdin	2000														
Requires Boar					ance														
☐ Requires Plan	ning	Boar	d/City	y Cou	ncil	Actio	n												
Explanation $\_$																			
Use complies with																			-
	ı	ı	ı	1 !		1	1	1		1	ı	ı	ı	I					
																	NG		
		TION	α <sub>+</sub>	3ACK 21)			/ ,		SC	(0			AREA	FAMILY	F	Щ	ARK	S	
oning.		ZONE LOCATION	INTERIOR OR CORNER LOT	FT. SETBACK REA (SEC. 21)		E AL	REAR YARDS	YARDS	ONT YARDS	OJECTIONS		ΕA	IG AF	PER FA	OF LOT	FRONTAGE	STREET PARKING	BAYS	
oning: PACE & BULK, s_applicable	ATE	ONE	TERI	FT.	USE	SEWAGE DISPOSAL	AR )	DE Y.	LNO	OJEC	IGHT	T AREA	ILDING	∢	WIDTH 0	١.		DING	
o apprount	٥	Ž	≤ δ	40 AR		SE	8	S	<b>.</b>	PR	뽀	2	ВО	ARE	×	2	OFF	LOA	
COMPLIES												Mg-secondarian							
COMPLIES																			CONDITIONS
CONDITIONALLY													į						SPECIFIED BELOW
DOES NOT COMPLY																			REASONS SPECIFIED
			<u></u>																BELOW
REASONS:									******										
								.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,											
and the state of t						The second second													
	***************************************								1	0 18		11							
								-			NIA T			<u> </u>					50000 NO. 10000 NO.
7										216	JIANIE	KE O	r KE	/IEWII	NG ST	AFF/[	DATE		

BUILDING DEPARTMENT—ORIGINAL

## CITY OF PORTLAND, MAINE SITE PLAN REVIEW (ADDENDUM) CONDITIONS OF APPROVAL

APPLICANT: ARTHUR & PEGGY ASTAR! TA	
APPLICANT: PEAKS 13.  ADDRESS: 13 ELIZABETH ST, - PEAKS 15.  SITE ADDRESS, LOCATION: 51 WOODS ROAD L PEAKS 154WO	
SITE ADDRESS/LOCATION: 51 WOODS ROAD L PEAKS ISYND	
DATE: 9/13/94	
Review by the Development Review Coordinator is for General Conformance with ordinances at standards only and does not relieve the applicant, his contractors or agents from the responsibilit to provide a completely finished site, including but not limited to not increasing or concentrating all surface runoff onto adjacent or downstream properties, issues regarding vehicle sight distance location of public utilities and foundation elevations.	of
CONDITIONS CHECKED OFF BELOW ARE IN FORCE FOR YOUR SITE PLAN	
All damage to sidewalk, curb, street, or public utilities shall be repaired prior to issuance of a Certificate of Occupancy.	
Two (2) City of Portland approved species and size trees must be planted on your suffrontage prior to issuance of a Certificate of Occupancy.	
Your new street address is now 51 WDDDS NOAD number must be displayed on the street frontage of your house prior to issuance of a Certificate of Occupancy.	
The Development Review Coordinator (874-8300, ext. 8722) must be notified five to working days prior to date required for final site inspection. <u>Please</u> make allowances for completion of site plan requirements determined to be incomplete or defective during the inspection. This is essential as all site plan requirements must be complete and approved by the Development Review Coordinator prior to issuance of a Certific of Occupancy. <u>Please</u> schedule any property closings with these requirements in min	ed cate
A sewer permit is required for your project. Please notify Paul Niehoff at 874-8300 8838. The Sewer Division of Parks and Public Works (Jackie Wurslin at 797-5302 must be notified five (5) working days prior to sewer connection to schedule an inspector for your site.	•
As-built record information for sewer and storm service connections must be submit to Parks & Public Works Engineering Division (55 Portland St.) and approved priorissuance of a Certificate of Occupancy.	. 10
A street opening permit(s) is required for your site. Please contact Carol Poliskey at 874-8300, ext. 8822. (Only excavators licensed by the City of Portland are eligible)	) <b>.</b>
WITHIN THAT SEPTIC SYSTEM IS NOT WELL,	

# SINGLE FAMILY AND TWO-FAMILY LOT SITE PLAN REQUIREMENTS (Sec. 14-526)

·	Аp	plicant(s) ART & PEGGY ASTR	IR IT	72			
	Ad	dress of Proposed Site <u>51 Woods</u> R	20	PEAKS	SIL MG.		
		e minor site plan application for a single cludes the following:					
ISTIAR ITA	, 1	Namo and add.	Yes	No	Remarks		
AKS TS. INC COM	00	Name and address of the applicant.					
107/766-3336	۷.	A boundary survey of the lot, prepared and sealed by a registered land surveyor.					
	3.	Scale and north arrow.					
(	4.	Location, dimensions and first floor(sill) elevation(based on mean sea level datum) of the proposed building(s).					
	5.	Location and dimensions of driveway(s) and parking area.					
re want them to be located underground.	6.	Location and size of both existing utilities in the street and proposed utilities serving the building.					
. –		Location of areas on the site which will be used to dispose of surface water drainage and related facilities.					
		Existing and proposed contours(based on mean sea level datum).(Except where Public Works has determined that lesser detail would be required-Sec.14-526-C-1-g)	i	·			
		Į					
	Com	ments	1				
			Revie	wing St.	aff		
			Reviewing StaffDate				
		·	****				

In the Matter of the Arbitration Between

THE CITY OF PORTLAND

and

DECISION and AWARD

THE PROFESSIONAL AND TECHNICAL EMPLOYEES ASOCIATION Gr. William Goodwin

\_\_\_\_\_\_

Appearances: for City of Portland---Charles A. Lane, Esq. for the Association--John J. Finn, Esq.

This matter was heard in Portland, Maine on June 20. 1990 and July 9, 1990. Both parties made complete and comprehensive presentations. The Arbitrator compliments both attorneys for this. Regardless of my eventual determination both sides can rest assured that each received full consideration of the various concepts and materials presented.

At all relevant times William Goodwin (Grievant) was and still is employed as a Project Engineer by the City of Portland (City) in the Department of Parks and Public Works. Grievant is also licensed by the State of Maine as a Site Evaluator and has, for a number of years and still does, operate a private business under the name, Goodwin Associates, performing site evaluations for private parties in and out of Portland. This outside work includes, inter alia, completion of Subsurface Wastewater Disposal System Applications (NHE-200) and, on at least one occasion, appearing as an expert witness on behalf of his private clients before Portland boards. Most of his clients are from Peaks Island where he grew up and still lives.

The father of the Grievant, Ernold Goodwin, serves as Chief Plumbing Inspector for City. In that capacity Ernold Goodwin's duties include review and approval of HHE-200 forms. It is this relationship that gives rise to the problem of possible conflict of interest.

Sometime, approximately in the mid 1980's, conflict of interest matters began coming up generally in municipal matters. For some years there have been some statutory language in some form or other relating to inspection of plumbing. During this time the legislature enacted Title 30-A M.R.S.A. Section 4222 (P.L.1987, C.737, Sec. 2) as follows:

No inspector of plumbing may inspect or approve any plumbung work, site evaluation or installation of a subsurface disposal system, done by that inspector, or who is employed by or with the inspector.

In an effort to cope with this type of situation city officials had conferences and meetings, some with employees, trying to establish some criteria. Some of these were attended by Grievant. This entire period was in a state of flux. Several different procedures and rules were promulgated not all of which were put into effect.

Among such attempts a draft ENGINEERING WORK RULE - CONFLICT OF INTEREST, dated 11/18/87, was circulated from Tom Eaton, City Engineer, to many employees, Grievant among them.(Ex.#27, pages 2 & 3 attached). (Page 1 was directed to Corporation Counsel for comment). Grievant testified that although this was never adopted he felt it was in his best interest to follow the draft. On the same date Eaton separately circulated an explanation and indicated his intention to issue the final Work Rule soon. (Ex. #43). This was circulated to people on the Engineering Staff, Grievant being among them. The second paragraph cautioned the employees to "maintain care so that a conflict of interest situation does not exist or even appear to exist." The third paragraph reads as follows:

Enginering Division as a whole will continue to review work performed by one of its employees which was performed under outside employment conditions. Individual reviews, however, will not be permitted of one's own work, the work of a subordinate, ot the work of a relative. Potential Conflicts of Interest are to be brought to the attention of the City

Engineer and/or the Principal Engineer.

Prior to 1987 Grievant's father had reviewed Grievant's private applications. In an effort to minimize this potential conflict situation, in 1987 City assigned Samuel Hoffses, Chief of Inspection Services, to review applications prepared by William Goodwin in his private business. This arrangement did not continue. A suggestion was made that City hire an independent inspector on an ad hoc basis to perform these reviews. City objected to the extra cost to it.

Other employees, in addition to Grievant, were in similar potential conflict of interest situations because of employment with City and conducting private outside businesses in the same or related fields as their City employment. In one way or another the others were resolved by those employees either resigning or giving up the outside work.

Matters came to a head in 1989 when a matter involving Island Builders, Inc. came up involving property on one of the islands. Ordinarily this Arbitrator would not go into too much detail concerning such a case, but throughout this Hearing testimony, exhibits and contents of Grievant's briefs sidetracked consideration of this matter from the material pertinent to the issue of conflict of interest.

The application of Island Builders Inc, form HHE-200 (Ex. #3) was prepared and signed by Grievant in his outside business capacity.

Immediately above his signature is the following statement:

On December 17, 1988 T conducted a site evaluation for this project and certify that the data reported is accurate. The system I propose is in accordance with the Subsurface Wastewater Disposal Rules.

The first page stated "well" as the type of water supply. The
Referee in his report found that a sink for washing clothes and hair

was supplied water by hand from a rain barrel under the roof gutter with no pipe running anywhere from the rain barrel. Drinking water was hand carried from a neighbor's premises. No public water system was available and there was no spring, well or other water source on the premises. (underlining supplied).

The application also stated that this was a "Replacement System" for a system installed in  $1900\pm$ . The Referee found that human waste was deposited in an outhouse directly onto the ground. The sink to which water was hand carried for washing clothes deposited its water onto the adjacent ground surface. The person who sold the property to Island Builders, Inc., a Nrs. Gould, testified at that Hearing that for as long as she occupied the property there was no flush toilet unit on the premises. She abandoned the property before 1970.

From the evidence before him the Referee found that up to the time of his Hearing no septic waste disposal system was shown to have ever existed.

After Island Builders acquired the property some excavation work in the area at the rear of the house, a building disintegrating and not habitable, a 55 gallon steel drum with a hole at either end was found. Short pieces of pipe protruded therefrom. Some "beach stones" were also found. Mrs. Gould and family had laid "beach stones" to form a walk to the outhouse. The president of Island Builders saw what he took to be a broken flush toilet lyingunder the wreck of whar had been tghe kitchen, but he did not examine it.

As the Referee said, "We are presented only with speculation and conjecture as to where these items came from, who put them there and when, whether they were ever linked by piping so as to form a system, and if so, how they could be operable without water. Witnesses ven-

tured to guess at possible answers to these questions, but pure guesswork is not persuasive ..."

Even prior to that Hearing the information supplied by Grievant was questioned. That hearing corroborated that.

Sometime in September 1989 City's Corporation Counsel had a conversation with an attorney who informed him that Grievant had advised one of that attorney's clients how she could evade provisions of the proposed island groundwater amendments. The suggested method involved giving a legal interpretation, which was not within his authority.

At this Hearing Barbara Vestal, Planning Board member and former Chair, testified that Grievant came before that board, usually as a witness for City, but occasionally as a witness for private applicants. The Board was unable to discern that he was in a different capacity on those occasions until he was called, and even then such difference was obscure. His dual position made his testimony in behalf of his private clients of greater weight than that of other independent technicians.

One must keep in mind other factors that permeated the atmosphere.

During the period Grievant was conducting his outside business, a Mrs. Veronica Foster, resident of Peaks Island, wrote to the Dept. of Human Services, Augusta, Maine, saying in part (Ex. #37):

"We have a problem here on Peaks Island. Building permits are being issued where residences should never be built......

It is common knowledge on the island that permits can be obtained for any place if big enough bribe is offered...."

This excerpt is included here regardless of whether true or not as an indication of rumors that pervaded the island atmosphere.

The entire question of conflict of interest is being addressed at different levels with differing proscriptions varying according to the

governmental level being addressed. In other words there is no uniformity between different branches of government, or political levels within the same branch.

It was these factors and resulting atmosphere that City issued its memo on October 2, 1989 to Grievant (Ex. #3) directing him to immediately cease all private outside work within the corporate limits of the City of Portland which, because of its nature must be reviewed and/or approved by any City department or employee.

In his post-hearing brief Grievant states that there can be no finding that Grievant had a conflict of interest as there was no evidence of any attempt on his part to exert improper influence on the judge. The potential of a conflict of interest is much broader than this narrow definition. It is not restricted to exerting improper influence on the judge. It also includes misleading any authority, judge or board. As Maine cases have said it is the temptation to serve one's own personal interst to the prejudice of fair and equal service of others whom he serves in his official capacity. In a potential conflict situation it is not necessary that there be any improper conduct. It is the appearance of the potential for some wrong doing not actual wrong doing that presents the problem. A public employee nust always be cautious of what he says and does as well as how he does it. Witness the content of Ex. #7. Grievant had conversation with someone who reported to her attorney as indicated in that exhibit. Regardless of what words might actually been used the client's impression was definite and improper. That was the message the client received.

Section 4222 was passed in 1987. Even though City did not circulate it to department heads until 1990 Grievant is obliged to know of and abide by State Statutes and Regulations pertaining to his occupa-

tion and profession.

Grievant also claims that City did not balance the interests of the private interest of Grievant when it considered the public interest. From the evidence in light of conduct and reported public perceptions City definitely considered Grievant's interest as well as the Public's. The memo regarding his outside work prohibited only work within the City of Portland. It did not touch his work outside Portland. The fact that the majority of his work is in Portland was balanced by the factors set both above and is a factor Grievant should have considered.

Grievant also had a balancing responsibility. In his outside work in the City of Portland to which master is he responsible, which does he serve? His conduct and assumptions in the Island Builders case exemplifies the basic problem. His conduct in that matter mandated that something be done.

To protect residents of Portland and the integrity of the actions of its boards City had to take some action. Exhibit #8 is that action and is proper.

The Arbitrator must consider all applicable laws in effect. He cannot condone conduct that is prohibited regardless of when Grievant became aware of it. If the law is in existence all affected parties should have known of it.

All parties must conform to the law and sometimes past practices must change.

While in part Grievant's attorney made reference that the rulings and actions taken by Cuty in its attempts to implement a "Conflict of Interest" policy was a violation of the Labor Agreement between City and Grievant's Professional Association, no applicable Article in the

Labor Agreement between the parties was referred to, and the Arbitrator is unable to find any. Any such attempt by City to conform is not violative of the Labor Agreement and is not prohibited unless in contravention of other pertinent laws.

The Arbitrator finds that Grievant's activity violated Conflict of Interest rules.

The Arbitrator finds that City did not violate grievant's rights.

Dated: October 20, 1990.

Arbitrator

#### Department of Human Services SUBSURFACE WASTEWATER DISPOSAL SYSTEM APPLICATION Division of Health Engineering (207) 289-3826 PROPERTY ADDRESS Town Or PERMI1 # 5178 APPLICANTS COPY PORTLAND PORTLAND PEAKS ISLAND Plantation WOODS ROAD Street Subdivision Lot # Permit TAXMAP 92 BLOCK G LOTS 30,32 PROPERTY OWNERS NAME ASTARITA ARTHUR & PEGGY. L.P.I. # Local Plumbing Inspector Signature First: Applicant THE WORK SPECIFIED IN THIS APPLICATION IS HEREBY ARTHUR ASTARITA Name: AUTHORIZED TO BE INSTALLED IN ACCORDANCE WITH THE RULES. THIS PERMIT EXPIRES AFTER TWO YEARS Mailing Address of FROM DATE ISSUED UNLESS WORK HAS COMMENCED. Owner/Applicant (If Different) HOUSTON TEXAS Owner/Applicant Statement Caution: inspection Required I certify that the Information submitted is correct to the best of my I have inspected the installation authorized above and found it to knowledge and understand that any talsification is reason for the Local be in compliance with the Subsurface Wastewater Disposal Rules. Plumbing Inspector to deny a Permit. Signature of Owner/Applicant Date Local Plumbing Inspector Signature Date Approved PERMIT INFORMATION THIS APPLICATION IS FOR: THIS APPLICATION REQUIRES: INSTALLATION IS COMPLETE SYSTEM 1. M NO RULE VARIANCE REQUIRED 1. M NEW SYSTEM 1. NO:1-ENGINEERED SYSTEM 2. NEW SYSTEM VARIANCE 2. REPLACEMENT SYSTEM Attach New System Variance Form 2. PRIMITIVE SYSTEM (Includes Alternative Toilet) ☐ REPLACEMENT SYSTEM VARIANCE 3. TEXPANDED SYSTEM Attach Replacement System Variance Form 3. TENGINEERED (+2000 and) 3. Requires only Local Plumbing INDIVIDUALLY INSTALLED COMPONENTS: 4. SEASONAL CONVERSION Inspector Approval 4. Requires both State and Local 4. TREATMENT TANK (ONLY) Plumbing Inspector Approval 5. EXPERIMENTAL SYSTEM 5. HOLDING TANK 6. ALTERNATIVE TOILET (ONLY) IF REPLACEMENT SYSTEM: DISPOSAL SYSTEM TO SERVE: 7. NON-ENGINEERED DISPOSAL AREA YEAR FAILING SYSTEM INSTALLED \_ (ONLY) 1. SINGLE FAMILY DWELLING THE FAILING SYSTEM IS: 8. ENGINEERED DISPOSAL AREA 2. MODULAR OR MOBILE HOME 1. 🗆 BED 3. TRENCH 2. CHAMBER 4. OTHER: 9. SEPARATED LAUNDRY SYSTEM 3. MULTIPLE FAMILY DWELLING SIZE OF PROPERTY ZONING TYPE OF WATER SUPPLY 4. OTHER \_ 3.32 A DRILLED WELL DESIGN DETAILS (SYSTEM LAYOUT SHOWN ON PAGE 3) TREATMENT TANK WATER CONSERVATION **PUMPING** CRITERIA USED FOR 1. NOT REQUIRED 2. MAY BE REQUIRED DESIGN FLOW (BEDROOMS, SEATING. 1. X SEPTIC: Regular 1. NONE EMPLOYEES, WATER RECORDS, ETC.) 2. LOW VOLUME TOILET (DEPENDING ON TREATMENT TANK 2. AEROBIC 3. SEPARATED LAUNDRY SYSTEM 3 BELROOM LOCATION AND ELEVATION) 4. ALTERNATIVE TOILET 3. REQUIRED CONSERVATIVE 1000 SIZE: GALS. SPECIFY: . DOSE: \_ GALS LOW VOLUME SOIL CONDITIONS USED FOR SIZE RATINGS USED FOR DISPOSAL AREA TYPE/SIZE OILET **DESIGN PURPOSES DESIGN PURPOSES** 1. 🗌 BED \_\_\_\_ ☐ SMALL SERABATED 2. ☑ CHAMBER U25 \* **PROFILE** CONDITION -AUNDRY MEDIUM ΑЩ MEDIUM-LARGE ☑ REGULAR ☐ H-20 OFSIGN. DEPTH TO LARGE I OW: 3. TRENCH \_\_\_\_ Linear Ft. LIMITING FACTOR: ☐ EXTRA LARGE 4. COTHER: \_ (GALLONS/DAY)

SITE EVALUATOR STATEMENT \* USED 17 INFLITIATOR POLYETIEUENE CHAMBERS IN TRENCE CONFIGURATION.

On Sept 24 1982 (date) I conducted a site evaluation for this project and certify that the data reported is accurate. The system I propose is in accordance with the Subsurface Wastewater Disposal Rules.

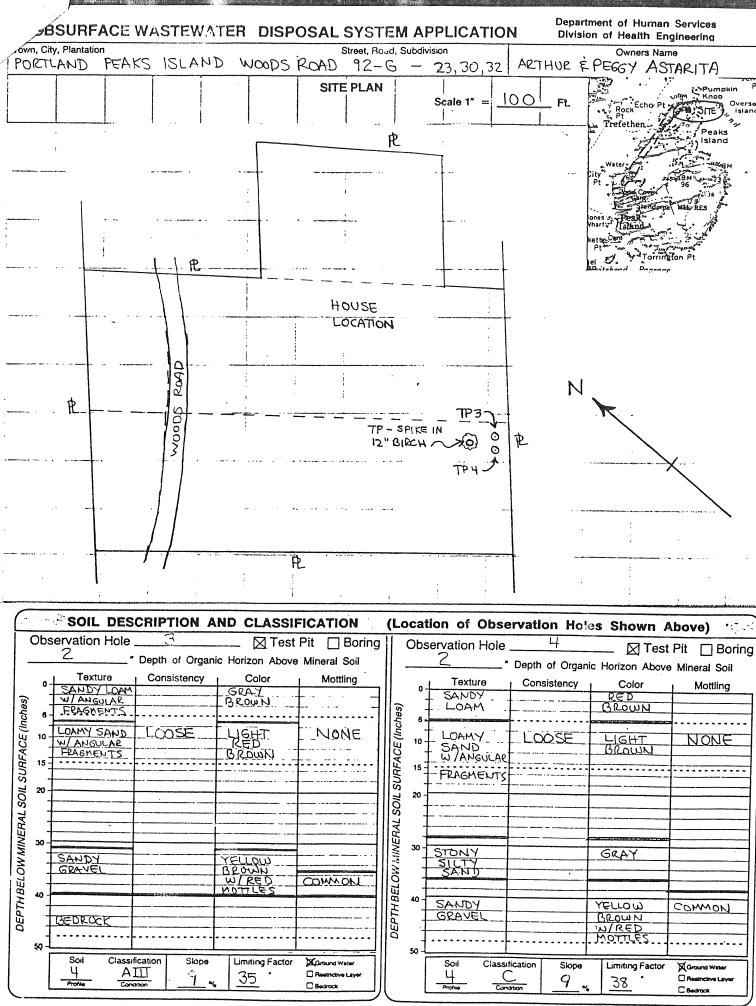
Site Evaluator or Professional Engineer's Signature

Local Plumbing Inspectors Sayo, ure if a Local Site Evaluation Warver under a Local Option

0003/48/4

8/31/94 Date

Page 1 of 3 HHE - 203 Rev. 4/83

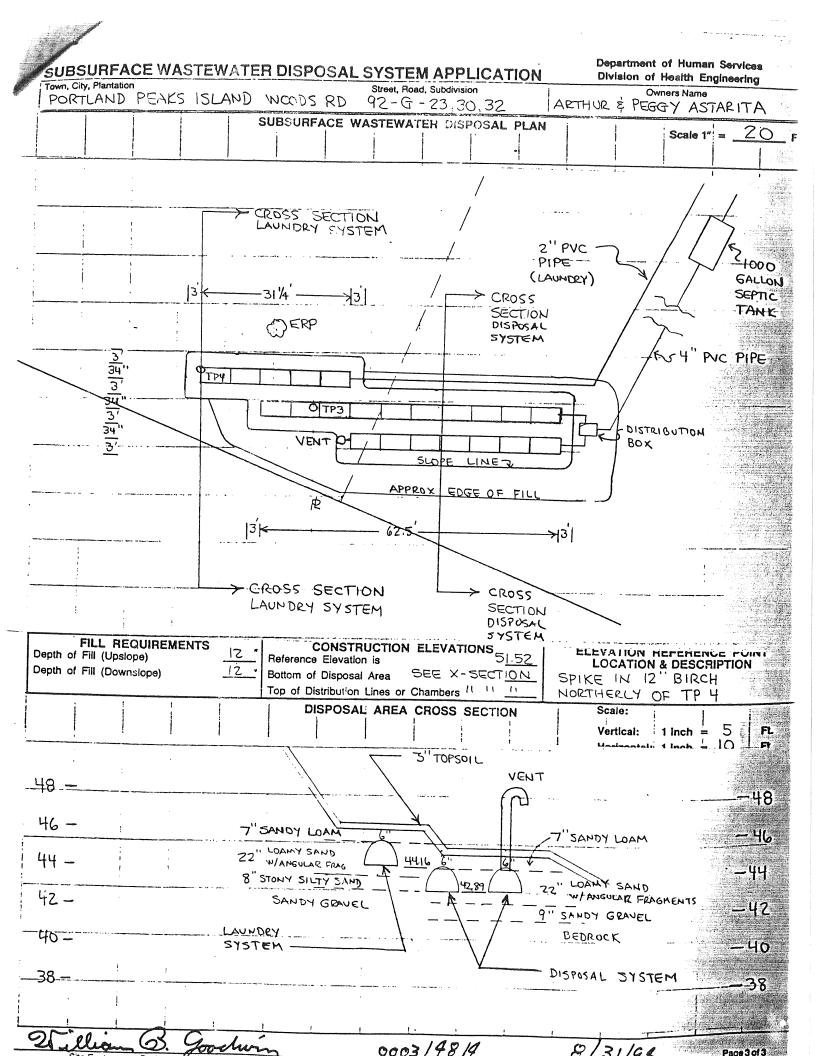


D. [8]

B Q\_1

0003/4814

0/21/94



#### Department of Human Services SUBSURFACE WASTEWATER DISPOSAL SYSTEM APPLICATION Division of Health Engineering (207) 289-3826 PROPERTY ADDRESS **PORTLAND** PERMIT # 5177 APPLICANTS COPY Town Or PORTLAND PEAKS ISLAND Plantation Date Permit WOODS ROAD Street TAXMAP 92 BLOCK G LOTS 30 Issued Subdivision Lot # PROPERTY OWNERS NAME Local Plumbing Inspector Signature ASTARITA ARTHUR & PEGGY Last: First: THE WORK SPECIFIED IN THIS APPLICATION IS HEREBY Applicant AUTHORIZED TO BE INSTALLED IN ACCORDANCE WITH ARTHUR ASTARITA THE RULES. THIS PERMIT EXPIRES AFTER TWO YEARS Name: FROM DATE ISSUED UNLESS WORK HAS COMMENCED. Mailing Address of Owner/Applicant (If Different) HOUSTON TEXAS Owner/Applicant Statement Caution: Inspection Required I certify that the Information submitted is correct to the best of my I have inspected the installation authorized above and found it to knowledge and understand that any falsification is reason to: the Local be in compliance with the Subsurface Wastewater Disposal Rules. Plumbing Inspector to deny a Permit. Signature of Owner/Applicant Date. Local Plumbing Inspector Signature Date Approved PERMIT INFORMATION THIS APPLICATION IS FOR: THIS APPLICATION REQUIRES: INSTALLATION IS COMPLETE SISTEM 1. M NO RULE VARIANCE REQUIRED 1. NEW SYSTEM 1. NON-ENGINEERED SYSTEM 2. NEW SYSTEM VARIANCE 2. REPLACEMENT SYSTEM Attach New System Variance Form 2. PRIMITIVE SYSTEM (Includes Alternative Toilet) ☐ REPLACEMENT SYSTEM VARIANCE 3. TEXPANDED SYSTEM Attach Replacement System Variance Form 3. ENGINEERED (+2000 gpd) 3. Requires only Local Plumbing INDIVIDUALLY INSTALLED COMPONENTS: Inspector Approval 4. SEASONAL CONVERSION 4. Requires both State and Local 4. TREATMENT TANK (ONLY) Plumbing Inspector Approval 5. EXPERIMENTAL SYSTEM 5. HOLDING TANK 6. ALTERNATIVE TOILET (ONLY) IF REPLACEMENT SYSTEM: DISPOSAL SYSTEM TO SERVE: 7. NON-ENGINEERED DISPCSAL AREA YEAR FAILING SYSTEM INSTALLED (ONLY) 1. X SINGLE FAMILY DWELLING THE FAILING SYSTEM IS: 8. ENGINEERED DISPOSAL AREA 2. MODULAR OR MOBILE HOME (ONLY) 1. C BED 3. TRENCH 2. CHAMBER 4. OTHER: 9. X SEPARATED LAUNDRY SYSTEM 3. MULTIPLE FAMILY DWELLING SIZE OF PROPERTY ZONING TYPE OF WATER SUPPLY 4. OTHER \_ 3,32 A DRILLED WELL DESIGN DETAILS (SYSTEM LAYOUT SHOWN ON PAGE 3)

**TREATMENT TANK** WATER CONSERVATION **PUMPING** 1. X NOT REQUIRED 1. I NONE 1. SEPTIC: Regular MAY BE REQUIRED 2. 

LOW VOLUME TOILET (DEPENDING ON TREATMENT TANK 3. X SEPARATED LAUNDRY SYSTEM

Low Profile 2. AEROBIC 4. 

ALTERNATIVE TOILET SPECIFY: \_ GALS.

CONDITION

SOIL CONDITIONS USED FOR

**DESIGN PURPOSES** 

**PROFILE** 

DEPTH TO

LIMITING

SIZE RATINGS USED FOR **DESIGN PURPOSES** 

☐ SMALL **⊠** MEDIUM ☐ MEDIUM-LARGE 4. LARGE

☐ EXTRA LARGE

DOSE: DISPOSAL AREA TYPE/SIZE

3. REQUIRED

1. BED \_ . Sq. Ft. 2. ☑ CHAMBER 125 ☑ REGULAR ☐ H-20

LOCATION AND ELEVATION)

3. TRENCH\_\_\_ Linear Ft. 4. OTHER: \_

CRITERIA USED FOR DESIGN FLOW (BEDROOMS, SEATING, EMPLOYEES, WATER RECORDS, ETC.)

SEPARATED

LAUNDRY

DESIGN 90 FLOW: (GALLONS/DAY)

SITE EVALUATOR STATEMENT \* USED 5 INFILTRATOR POLYETHELENE CHAMBERS IN TRENCH CONFIGURATION

SITE EVALUATION WAIVED BY LOCAL OPTION)

(date) I conducted a site evaluation for this project and certify that the data reported is accurate. The system I propose is in accordance with the Subsurface Wastewater Disposal Rules.

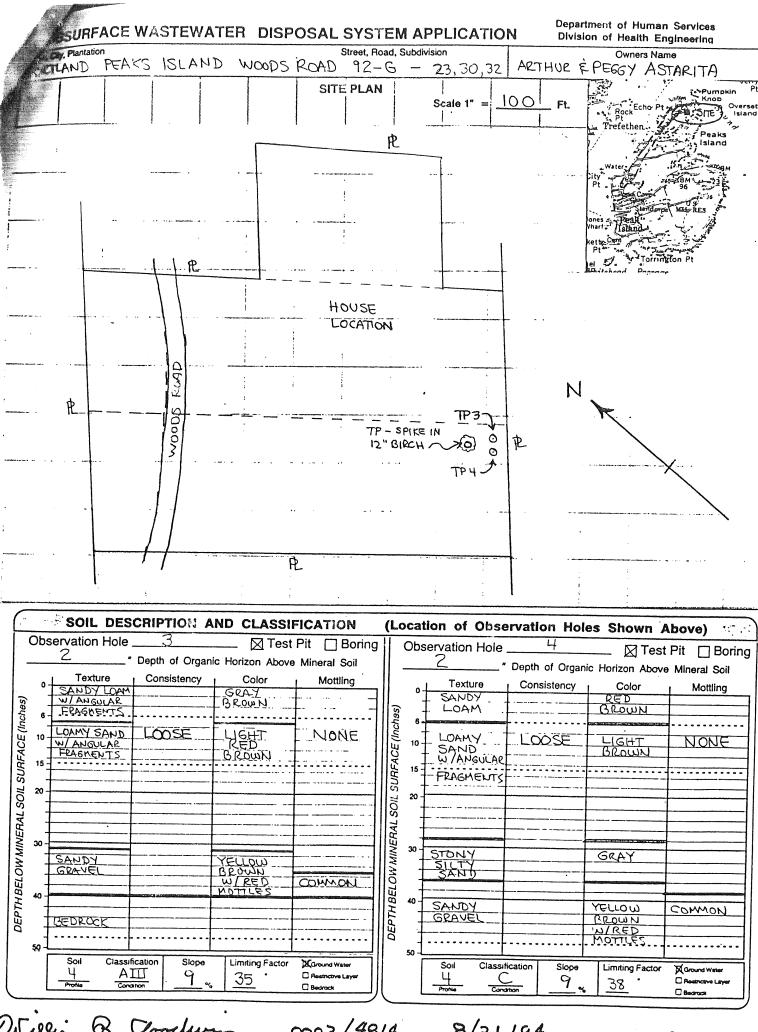
Govelin \_ Site Evaluator or Professional Engineer's Signature

14814

8/31/94

\_ GALS

Page 1 of 3



Goody

0003/4814

8/31/94

