Form # P 04

DISPLAY THIS CARD ON PRINCIPAL FRONTAGE OF WORK

CITY OF PORTLAND

Please Read Application And Notes, If Any,

PERMIT ISSUED

Attached

ONE CITY CENTER ASSO

TES LI

AUG 2 1 2007

has permission to _

This is to certify that

interior Demo, interior altera

s & Ter Fit-u 7th & 1

032 L0 2001

Floors

AT 1 CITY CTR floors 7 & 10

epting this permit ation provided that the person or persons. m or of the provisions of the Statutes of I ine and of the ances of the City of Portland regulating the construction, maintenance and u of buildings and st stures, and of the application on file in this department.

Apply to Public Works for street line and grade if nature of work requires such information.

fication insped n must g h and w n permi: n procu b re this ding or t thered ed or d osed-in.

IR NOTICE IS REQUIRED.

A certificate of occupancy must be procured by owner before this building or part thereof is occupied.

OTHER REQUIRED APPROVALS

Fire Dept. (1500

Health Dept.

Appeal Board

Other

Department Name

PENALTY FOR REMOVING THIS CARD

City of Portland, Mai	ne - Building or Use	Permit Applicati	on Permit N	o: Issue Date	e: CBL:	
389 Congress Street, 041	01 Tel: (207) 874-8703	, Fax: (207) 874-87	716 07	-0855	032 L	.002001
Location of Construction:	Owner Name:		Owner Addi	ress:	Phone:	
I CITY CTR floors 7 & 10	ONE CITY C	ENTER ASSOCIATI	E ONE CIT	Y CENTER		
Business Name:	Contractor Name		Contractor A		Phone	
	TBOT RGB	CONSTRUCTION		3672, PURT	1ANO 1773-	-5590
Lessee/Buyer's Name	Phone:		Permit Type Alteration	:: ns - Commercial		Zorge:
Past Use:	Proposed Use:		Permit Fee:	Cost of Wo	ork: CEO District:	
Commercial - Office	Commercial -	Office - interior	\$20,02	20.00 \$2,000,0	00.00	
	I	alterations & Tenant	FIRE DEPT	T: Approved	INSPECTION:	
	Fit-up for 7th	& 10th Floors		Denied	Use Group:	Type: 2B
					DBC-	2003
Proposed Project Description:				a		0/1/2
interior Demo, interior alte	rations & Tenant Fit-up for	r 7th & 10th Floors	Signature PEDESTRIA	NEO GARRANACTIVITIES DIS	Signature: STRICT (PAR)	<u>8 3 0 / </u>
					, TRICT (1:20:1)	
			Action: :	Approved Ap	oproved w/Gonditions	Denied
			Signature:	NOI YET	ectel Date: 9 ft	ADM 1
Permit Taken By: Idobson	Date Applied For: 07/16/2007		7	Coning Approv	al 772 41	UBP
		Special Zone or Re	views	Zoning Appeal	Historic Pro	eservation
	n does not preclude the ting applicable State and	Shoreland 197	\	Variance		trict or Landmark
2. Building permits do no septic or electrical wor		Wetland		Miscellaneous	be Does Not R	Require Review
3. Building permits are v within six (6) months of	oid if work is not started of the date of issuance.	Flood Zone		Conditional Use	Requires R	eview
False information may permit and stop all wo	· ·	Subdivision		Interpretation	Approved	
		Site Plan		Approved	Approved v	w/Conditions
		Maj Minor M		Denied	Denied	<
		Date:	Date:	_	Date:	<u> </u>
		<i>)</i> (C	1 (01			
		CERTIFICAT	CION			
I hereby certify that I am the	e owner of record of the na	med property, or that	the proposed	work is authorized	d by the owner of rec	ord and that
I have been authorized by th	ne owner to make this appli	cation as his authoriz	ed agent and	I agree to conform	to all applicable law	s of this
jurisdiction. In addition, if a shall have the authority to en such permit.						

ADDRESS

SIGNATURE OF APPLICANT

DATE

PHONE

General Building Permit Application

If you or the property owner owes real estate or personal property taxes or user charges on any property within the City, payment arrangements must be made before permits of any kind are accepted.

Location/Address of Construction: ONE C	CITY CENTER, POPTIANO HE	04101, FLES 7510
Total Square Footage of Proposed Structure	Square Footage of Lot	
27,182 96 × / 22,230 US	F NA.	
Tax Assessor's Chart, Block & Lot	Owner:	Telephone:
Chart# Block# Lot#	ONE CITY CENTER ASSO	c. 201.871.1080
032-1-002-001		
Lessee/Buyer's Name (If Applicable)	Applicant name, address & telephone:	Cost Of
UBS FINANCIAL SERVICES,	TED MOUDIS ASSOCIATES	Work: \$2,000,000
INC.	440 G. LAFALLE ST.	
	HOS. LACALLE ST. SLIFE 2310 CHICAGO, IL 60005	Fee: \$ 20,020
	312.663.0130	C of O Food \$
Current legal use (i.e. single family)	FICE (BUSINESS)	C of O Fee: \$
0 \ 0 //	- VACANT	
Proposed Specific use: OFFICE (18) Is property part of a subdivision? No	If yes, please name	
Project description: Interior alteration		100 ml F + - 40 -
Totorior alteration	ns, Interior Demo 87.	enant P. 2 9
Triffering		
Contractor's name, address & telephone:		
Contractor's name, address & telephone:		Cabell Williams
Contractor's name, address & telephone: Who should we contact when the permit is rea	dy: To the deflectiveto	Cabell Williams W/Ted Moudisis A
Contractor's name, address & telephone: Who should we contact when the permit is rea Mailing address:	dy: To POSE DEFERENTED Phone:	Cabell Williams w/Ted Moudisis A 312-663-0130
Contractor's name, address & telephone: Who should we contact when the permit is rea Mailing address:	dy: To toke the permitted. Phone:	Cabell Williams w/Ted Moudisis A 312-663-0130
Contractor's name, address & telephone: Who should we contact when the permit is rea Mailing address:	dy: To toke Distribution	Cabell Williams W/Ted Moudisis A 312-663-0130
Please submit all of the information out	lined in the Commercial Application	
Please submit all of the information out	lined in the Commercial Application	
Please submit all of the information out Failure to do so will result in the autom	lined in the Commercial Application atic denial of your permit.	Checklist.
Please submit all of the information out Failure to do so will result in the autom In order to be sure the City fully understands the fu	clined in the Commercial Application atic denial of your permit. Il scope of the project, the Planning and Develop	Checklist.
Please submit all of the information out Failure to do so will result in the autom. In order to be sure the City fully understands the furequest additional information prior to the issuance	clined in the Commercial Application atic denial of your permit. Il scope of the project, the Planning and Develop of a permit. For further information or to down.	Checklist. Dement Department may load copies of this form and
Please submit all of the information out Failure to do so will result in the autom. In order to be sure the City fully understands the furequest additional information prior to the issuance other applications visit the Inspections Division on-	clined in the Commercial Application atic denial of your permit. Il scope of the project, the Planning and Develop of a permit. For further information or to down.	Checklist. Dement Department may load copies of this form and
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Please submit all of the information out Failure to do so will result in the autom. In order to be sure the City fully understands the furequest additional information prior to the issuance other applications visit the Inspections Division onroom 315 City Hall or call 874-8703. I hereby certify that I am the Owner of record of the nambeen authorized by the owner to make this application as In addition, if a permit for work described in this application.	clined in the Commercial Application atic denial of your permit. Il scope of the project, the Planning and Develop of a permit. For further information or to down of a permit. For further information or to down of a permit. For further information or to down of a permit. For further information or to down of a permit. For further information or to down the information of the code of ficial's authorized agent. I agree to conform to all application is issued, I certify that the Code Official's authorized agental accordance in the codes of the codes.	Checklist. Dement Department may load copies of this form and inspections Division office, The proposed work and that I have plicable laws of this jurisdiction. The ded representative shall have the

BUILDING PERMIT INSPECTION PROCEDURES Please call 874-8703 or 874-8693 (ONLY)

to schedule your inspections as agreed upon

Permits expire in 6 months, if the project is not started or ceases for 6 months.

The Owner or their designee is required to notify the inspections office for the following inspections and provide adequate notice. Notice must be called in 48-72 hours in advance in order to schedule an inspection:

By initializing at each inspection time, you are agreeing that you understand the inspection procedure and additional fees from a "Stop Work Order" and "Stop Work Order Release" will be incurred if the procedure is not followed as stated below.

A Pre-construction Meeting will take place upon receipt of your building permit.

Footing/Building Location Inspection: Prior to pouring concrete Re-Bar Schedule Inspection: Prior to pouring concrete Prior to placing ANY backfill Foundation Inspection: Framing/Rough Plumbing/Electrical: Prior to any insulating or drywalling Final/Certificate of Occupancy: Prior to any occupancy of the structure or use. NOTE: There is a \$75.00 fee per inspection at this point. Certificate of Occupancy is not required for certain projects. Your inspector can advise you if your project requires a Certificate of Occupancy. All projects **DO** require a final inspection // If any of the inspections do not occur, the project cannot go on to the next phase, REGARDLESS OF THE NOTICE OR CIRCUMSTANCES. CERIFICATE OF OCCUPANICES MUST BE ISSUED AND PAID FOR, BEFORE THE SPACE MAY BE OCCUPIED X WWW (L. X Signature of Applicant/Designee Signature of Inspections Official

Building Permit #: 7 -0955



Certificate of Design Application

From Designer:	TED MOUDIS ASSOCIA	4166	
Date:	07.13.2007		
Job Name:	UBS FINANCIAL STE	evices, ING	•
Address of Construction:	ONE CITY CENTER,	PORTLAND, MI	E 64101, FURG 7310
Const	2003 International E	_	ria listed below:
Building Code & Year 156	2007 Use Group Classification	(s) BUGINESS	Group B
Type of Construction	PE 11-15		
Will the Structure have a Fire sup	pression system in Accordance with Se	ection 903.3.1 of the	2003 IRC YES
Is the Structure mixed use?	•		
	Geotechnical/Soils report rec	•	
<u>-</u>	,,,	1	
Structural Design Calculations	,	N/A	Live load reduction
Submitted for all	structural members (106.1 – 106.11)	N/A	Roof live loads (1603.1.2, 1607.11)
		N/A	Roof snow loads (1603.7.3, 1608)
Design Loads on Construction Uniformly distributed floor live loads		N/A	Ground snow load, Pg (1608.2)
	Loads Shown	N/A	If Pg > 10 psf, flat-roof snow load p
N/A		N/A	If Pg > 10 psf, snow exposure factor, G
		N/A	If $Pg > 10$ psf, snow load importance factor, f_c
M/A		N/A	Roof thermal factor, (1608.4)
N/A		N/A	Sloped roof snowload, p _r (1608.4)
Wind loads (1603.1.4, 1609)		N/A	•
N/A Design option utiliz	red (1609.1.1, 1609.6)	N/A	Seismic design category (1616.3) Basic seismic force resisting system (1617.6.2)
N/A Basic wind speed (1)	,	N/A	Response modification coefficient, R _I and
	nd wind importance Factor, b		•
Wind exposure cate	table 1604.5, 1609.5) gory (1609.4)	NA	deflection amplification factor _G (1617.6.2)
Internal pressure coeff	- , , ,	N/A	Analysis procedure (1616.6, 1617.5)
Component and claddi	ing pressures (1609.1.1, 1609.6.2.2)	<i>P</i> -	Design base shear (1617.4, 16175.5.1)
•	ures (7603.1.1, 1609.6.2.1)	Flood loads (1	,
Earth design data (1603.1.5, 161	4-1623)		_ Flood Hazard area (1612.3)
Design option utilize	ed (1614.1)	N/A	_ Elevation of structure
Seismic use group ("	'Category'')	Other loads	
· 11.	pefficients, SDs & SD1 (1615.1)		_ Concentrated loads (1607.4)
N/A Site class (1615.1.5)		- NA	_ Partition loads (1607.5)
		N <u>₩</u>	Misc. loads (Table 1607.8, 1607.6.1, 1607.7, 1607.12, 1607.13, 1610, 1611, 2404



Commercial Interior & Change of Use Permit Application Checklist

All of the following information is required and must be submitted. Checking off each item as you prepare your application package will ensure your package is complete and will help to expedite the permitting process.

One (1) complete set of construction drawings must include: Note: Construction documents for costs in excess of \$50,000.00 must be prepared by a Design Professional and bear their seal. ☐ Cross sections w/framing details Detail of any new walls or permanent partitions ☐ Floor plans and elevations ☐ Window and door schedules ☐ Complete electrical and plumbing layout. Mechanical drawings for any specialized equipment such as furnaces, chimneys, gas equipment, HVAC equipment or other types of work that may require special review ☐ Insulation R-factors of walls, ceilings, floors & U-factors of windows as per the IEEC 2003 ☐ Proof of ownership is required if it is inconsistent with the assessors records. ☐ Reduced plans or electronic files in PDF format are required if originals are larger than 11" x 17". ☐ Per State Fire Marshall, all new bathrooms must be ADA compliant. Separate permits are required for internal and external plumbing, HVAC & electrical installations. For additions less than 500 sq. ft. or that does not affect parking or traffic, a site plan exemption should be filed including: The shape and dimension of the lot, footprint of the existing and proposed structure and the distance from the actual property lines. Location and dimensions of parking areas and driveways, street spaces and building frontage. Dimensional floor plan of existing space and dimensional floor plan of proposed space. A Minor Site Plan Review is required for any change of use between 5,000 and 10,000 sq. ft. (cumulatively within a 3-year period)

Fire Department requirements.

The following shall be submitted on a separate sheet:

☐ Elevators shall be sized to fit an 80" x 24" stretcher.

•
Name, address and phone number of applicant and the project architect.
Proposed use of structure (NFPA and IBC classification)
Square footage of proposed structure (total and per story)
Existing and proposed fire protection of structure.
Separate plans shall be submitted for
a) Suppression system
b) Detection System (separate permit is required)
A separate Life Safety Plan must include:
a) Fire resistance ratings of all means of egress
b) Travel distance from most remote point to exit discharge
c) Location of any required fire extinguishers
d) Location of emergency lighting
e) Location of exit signs
f) NFPA 101 code summary

For questions on Fire Department requirements call the Fire Prevention Officer at (207) 874-8405.

Please submit all of the information outlined in this application checklist. If the application is incomplete, the application may be refused.

In order to be sure the City fully understands the full scope of the project, the Planning and Development Department may request additional information prior to the issuance of a permit. For further information or to download copies of this form and other applications visit the Inspections Division on-line at www.portlandmaine.gov, or stop by the Inspections Division office, room 315 City Hall or call 874-8703.

Permit Fee: \$30.00 for the first \$1000.00 construction cost, \$10.00 per additional \$1000.00 cost

This is not a Permit; you may not commence any work until the Permit is issued.



(SEAL)

TED

MOUDIS No. 3343

Accessibility Building Code Certificate

Designer:	TED MOUDIS ASSOCIATES
Address of Project:	ONE CITY CENTER, PORTLAND, ME-ONIO, FLES 7/10
Nature of Project:	project consists of NEW TEHANT
	INTERIOR BUILD-OUT WITHIN AN EXISTING
	MICS. PAPTITIONS & CELLING ASSETBLES.

The technical submissions covering the proposed construction work as described above have been designed in compliance with applicable referenced standards found in the Maine Human Rights Law and Federal Americans with Disability Act. Residential Buildings with 4 units or more must conform to the Federal Fair Housing Accessibility Standards. Please provide proof of compliance if applicable.

Signature:

Title:

SENIOR PRINCIPAL

Firm:

TED MOUDIS ASSOCIATES

Address:

79 MADISON AVENUE

NEW YORK NY 10016

Phone:

212-308-4000

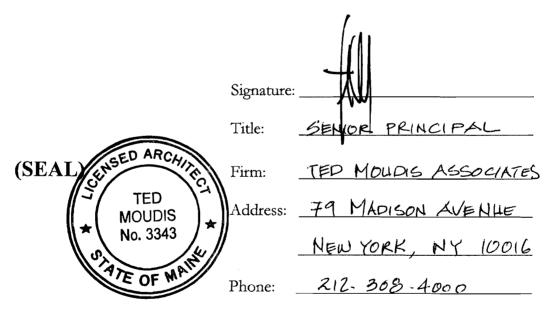


Certificate of Design

Date:	07.13.2007	
From:	TED MOUDIS ASSOCIATES	

These plans and / or specifications covering construction work on:

Have been designed and drawn up by the undersigned, a Maine registered Architect / Engineer according to the *2003 International Building Code* and local amendments.



City of Portland, Main	ie - Build	ing or Use Permit	į		remut No:	Date Applied For:	CDL:
389 Congress Street, 0410	01 Tel: (20	07) 874-8703, Fax: (207) 87	4-8716	07-0855	07/16/2007	032 L002001
Location of Construction:		Owner Name:		0	Owner Address:		Phone:
1 CITY CTR floors 7 & 10		ONE CITY CENTER	ASSOC		ONE CITY CENTI	ER	
Business Name:		Contractor Name:			Contractor Address:		Phone
		ГВD					
Lessee/Buyer's Name	P	hone:		F	Permit Type:		
<u>-</u>				L	Alterations - Com	nercial 	
Proposed Use:					l Project Description:		
Commercial - Office - interi Fit-up for 7th & 10th Floors		iterior alterations & To	enant	interior Floors	Demo, interior alt	erations & Tenant F	it-up for 7th & 10th
The up for the Tour Floors				1 10015			
Dept: Zoning S	Status: App	proved	Re	viewer:	Marge Schmuckal	Approval Da	ate: 07/17/2007
Note:		•				••	Ok to Issue:
							00/02/007
	Status: App	proved with Condition	s Re	viewer:	Jeanine Bourke	Approval Da	
Note:							Ok to Issue:
1) Separate permits are req Separate plans may need		, ,		•			
2) All penetratios through i	ated assem	blies must be protected	d by an a	pproved	firestop system ins	talled as tested in ac	cordance with
ASTM 814 or UL 1479,	per IBC 20	003 Section 712.					
Dept: Fire S	status: App	proved with Condition	s Re		Capt Greg Cass	Approval Da	ate: 07/17/2007
Note:					•		Ok to Issue:
1) Any cutting or welding of	perations re	equire a seperate perm	it from t	he Fire c	lept.		
2) All construction shall co	mply with I	NFPA 101					
3) Application requires Sta	te Fire Mars	shal approval.					
4) If Fire alarm or sprinkle	r systems a	re to out of service for	more tha	an 4 hou	rs a "Fire watch is i	equired"	
5) The Fire alarm and Sprin Compliance letters are re		ns shall be reviewed by	a licens	ed contr	actor[s] for code co	ompliance.	

Comments:

7/16/2007-Idobson: ON Hold no PDF no contact information, No Project Description

7/17/2007-ldobson: sending PDF, Faxing asbestos information, Received project description



Certificate of Design Application

From Designer:	TED MOUDIS ASSOCI	4/65	
Date:	07.13.2007		
Job Name:	UBS FINANCIAL STE	PVICES, INC	•
Address of Construction:	ONE CITY CENTER,	portland, M	E 64101, FLPG 7310
	2003 International l	_	
Con	nstruction project was designed to the	building code crite	ria listed below:
Building Code & Year 1	(2003) Use Group Classification	(s) BUSINESS	Group B
Type of Construction	TYPE 11-10		
Will the Structure have a Fire	suppression system in Accordance with S	ection 903.3.1 of the	2003 IRC YES
	No If yes, separated or non sepa		
	Geotechnical/Soils report re	_	
oupervisory marin by stern:	geotechnical, sons report re	.quirea: (See Seedon	1002.2)
Structural Design Calculation	ons	N/A	Live load reduction
	all structural members (106.1 – 106.11)	N/A	Roof live loads (1603.1.2, 1607.11)
•		N/A	Roof snow loads (1603.7.3, 1608)
Design Loads on Construct Uniformly distributed floor live le		N/A	Ground snow load, Pg (1608.2)
Floor Area Use	Loads Shown	NA	If Pg > 10 psf, flat-roof snow load pr
_N/A		N/A	If Pg > 10 psf, snow exposure factor, G
	······································	N/A	If $Pg > 10$ psf, snow load importance factor, I_1
		N/A	Roof thermal factor, $G(1608.4)$
N/A		N/A	u
Wind loads (1603.1.4, 1609)		N/A	Sloped roof snowload, p _f (1608.4)
. 11.	ntilized (1609.1.1, 1609.6)	11/2	Seismic design category (1616.3) Basic seismic force resisting system (1617.6.2)
N/A Basic wind spee		N/A	Response modification coefficient, R, and
N/A Building categor	ry and wind importance Factor, b		deflection amplification factor _{Cl} (1617.6.2)
Wind exposure	table 1604.5, 1609.5) " category (1609.4)	41/4	
Internal pressure	coefficient (ASCE 7)	N/A	Analysis procedure (1616.6, 1617.5) Design base shear (1617.4, 16175.5.1)
Component and c	ladding pressures (1609.1.1, 1609.6.2.2)	Flood loads (1	•
•	ressures (7603.1.1, 1609.6.2.1)	1000 1020s (1	,
Earth design data (1603.1.5,	1614-1623)	NA NA	Flood Hazard area (1612.3)
Design option u	tilized (1614.1)	N NA	Elevation of structure
Seismic use grou	up ("Category")	Other loads	
Spectral respons	e coefficients, SDs & SD1 (1615.1)	- 1/4	Concentrated loads (1607.4)
N/A Site class (1615.1	5)	~ N/#	Partition loads (1607.5) Misc. loads (Table 1607.8, 1607.6.1, 1607.7, 1607.12, 1607.13, 1610, 1611, 2404
			Commence of the control of the contr

Building Inspections Division • 389 Congress Street • Portland, Maine 04101 • (207) 874-8703 • FACSIMILE (207) 874-8716 • TTY (207) 874-8936



Accessibility Building Code Certificate

Designer:	TED MOUDIS ASSOCIATES
Address of Project:	ONE CITY CENTER, PORTLAND, ME OULO, ELES 7/210
Nature of Project:	PROJECT CONSISTS OF NEW TENANT
	INTERIOR BUILD-OUT WITHIN AN EXISTING
	MICE, PAPTITIONS & CELLING ASSETTELES.

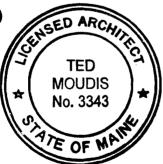
The technical submissions covering the proposed construction work as described above have been designed in compliance with applicable referenced standards found in the Maine Human Rights Law and Federal Americans with Disability Act. Residential Buildings with 4 units or more must conform to the Federal Fair Housing Accessibility Standards. Please provide proof of compliance if applicable.

Signature:

Title:

SENIOR PRINCIPAL

(SEAL)



Firm:

TED MOUDIS ASSOCIATES

Address: <u>F</u>9

79 MAPISON XVENUE

NEW YORK NY 10016

Phone:

212-308-4000

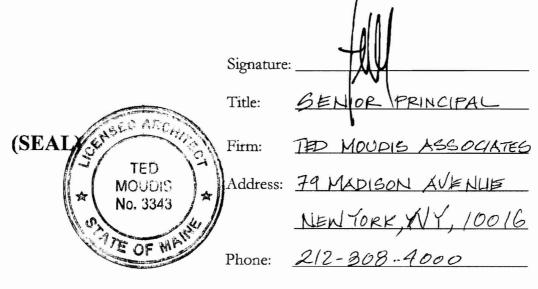


Certificate of Design

Date:	07.13.2007
From:	TED MOUDIS XESSOCIATES
These plans and / o	or specifications covering construction work on:

POPLAND, ME 04101, FLOORS 7510

Have been designed and drawn up by the undersigned, a Maine registered Architect / Engineer according to the *2003 International Building Code* and local amendments.



LETTER OF TRANSMITTAL

	Date: July 13, 2007		Project No: 107126	5.01		
	Building Inspections Division 389 Congres Street, Room 315		UBS-Portland, M	UBS-Portland, ME One City Center		
			One City Center			
	Portland, ME 04	Portland, ME 04101		01		
	Attn: Donna		7th & 10th Floors			
	Tel./ (207) 874-8703		Re: Issue for Pern	nit		
	Fax/ (207) 874-8716					
d Moudis	We transmit:	● Enclosed	Under separate cover	As requested		
	Via:	Messenger	FedEX	☐ As requested ☐ Hand		
sociates	For your:	Approval	Review and comment	Information/ record		
RCHITECTURE ERIOR DESIGN	Copies Date	Item/ Drawing No.	Description			
	1 set 7/13/07		Issue for Permit Docu	uments - Full Size		
CAGO	1 set 7/13/07		Issue for Permit Docu	ıments - Full Size		
			For Captain Cass of t	he Fire Prevention Office		
Financial Place LaSalle Street cago, IL 60605 312.663.0130 x 312.663.0138						
son Avenue	- <u>-</u>					
10016 8.4000 1.2020	Remarks:					
	cc: Louis Colletti, Cabo	ell Williams				
dmoudis.com	Prepared by: David Reco	ves /	7/13/07			

04/07/09 Clessin mor