Form # P 04 DISPLAY THIS CA	RD ON PRINCIPAL FRONTAGE OF WORK
Please Read Application And Notes, If Any, Attached	PERMIT ISSUED PERMIT ISSUED Permit Number: 070651 JUL 1 1 2007
This is to certify thatCITY OF PORTLAND /	Lur Construction
has permission to Plaza Restoration	CITY OF PORILAND
AT 389 CONGRESS ST	027 C012001
of the provisions of the Statutes of the construction, maintenance an this department.	of       and of the Providences of the City of Portland regulating e of buildings and ouccures, and of the application on file in         ification of insperion muscle in and view enpermition proceed to rethis aliding or art there as ed or a crivital osed-in 4 UR NOL - HEQUIRED.
OTHER REQUIRED APPROVALS Fire Dept Health Dept Appeal Board Other Department Name	Parie Building & Inspection Services

# PENALTY FOR REMOVING THIS CARD

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City of Portland, Maine	e - Building or Use	<b>Permit Application</b>	on   <sup>i</sup>	Permit No:	Issue Date:		CBL:	
389 Congress Street, 0410	1 Tel: (207) 874-870.	3, Fax: (207) 874-87	16	07-0651			027 C0	012001
Location of Construction:	Owner Name:	Owner Name:		ner Address:			Phone:	
389 CONGRESS ST	CITY OF PO	CITY OF PORTLAND		9 CONGRESS	ST			
Business Name:	Contractor Nam	e:	Con	tractor Address:			Phone	
	Lumus Constr	uction	17:	5 Lancaster St	Suite 208F Por	tland	2075228	620
Lessee/Buyer's Name	Phone:		Perr	nit Type:				Zons: 7
			A	terations - Cor	nmercial			18-7
Past Use:	Proposed Use:		Per	mit Fee:	Cost of Work:		District:	7
City Hall Plaza	City Hall Plaz	a - Plaza Restoration	1	\$4,410.00	\$438,500.0		1	
			FIR	E DEPT:	Approved IN	SPECTIC	DN:	
						se Group:	A-z	Type;
				Ľ	Denied	1		
					<	tairi	Reconst	nction
Proposed Project Description:			7			' 1		<i>i</i> .
Plaza Restoration			Sign	ature ( NTO (	- JAZZ Si	gnature:	MB	7/11/07
			PED	ESTRIAN ACTI	VITIES DISTRI	CT (P.AL	k	+++++
			Acti		ed 🗔 Annrov	ed w/Conc	litions [	Denied
								Demed
			Sign	ature:		Date	e:	
'ermit Taken By:	Date Applied For:			Zoning	Approval			
ldobson	06/04/2007							
1. This permit application d	oes not preclude the	Special Zone or Revi	ews	Zonir	ng Appeal	Н	listoric Pres	ervation
Applicant(s) from meetin	g applicable State and	Shoreland			e		Not in Distric	t or Landmar
Federal Rules.				L!	-			41
2. Building permits do not i	nclude plumbing	Wetland		neous	Does Not Require Review		uire Review	
septic or electrical work.	Prenome,	Tomment		1				
3. Building permits are void	l if work is not started	Flood Zone		Conditio	onal Use		Requires Rev	view
within six (6) months of t	he date of issuance.						1	
False information may in	validate a building	Subdivision		Interpret	ation		Approved	
permit and stop all work.	•					heread a		
		Site Plan		Approve	d		Approved w/	Conditions
DEDIN						1 20	artial a	mo
PERMITISSUED		Maj 🗍 Minor 🦳 🖬		Denied			Denied	11
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	1 2007	Date: I.H.R.	$\gamma$	Date:		Date:	6/20	107
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CITY OF PO	RTIAND					$\mathcal{Y}$		$\sim$

#### **CERTIFICATION**

I hereby certify that I am the owner of record of the named property, or that the proposed work is authorized by the owner of record and that I have been authorized by the owner to make this application as his authorized agent and I agree to conform to all applicable laws of this jurisdiction. In addition, if a permit for work described in the application is issued, I certify that the code official's authorized representative shall have the authority to enter all areas covered by such permit at any reasonable hour to enforce the provision of the code(s) applicable to such permit.

SIGNATURE OF APPLICANT	ADDRESS	DATE	PHONE
RESPONSIBLE PERSON IN CHARGE OF WORK, TITLE		DATE	PHONE

City of	f Portland, Ma	ine - Bu	uilding or Use Permit	t		Permit No:	Date Applied For:	CBL:	
389 Co	ngress Street, 04	101 Tel:	(207) 874-8703, Fax: (	(207) 874-	8716	07-0651	06/04/2007	027	C012001
Location	of Construction:		Owner Name:		0	Owner Address:		Phone:	
389 CC	NGRESS ST		CITY OF PORTLANI	D	1:	389 CONGRESS S	ST		
Business	Name:		Contractor Name:		0	Contractor Address:		Phone	
1			Lumus Construction		1	175 Lancaster St S	uite 208F Portland	(207)	522-8620
Lessee/Bi	uyer's Name		Phone:		P	ermit Type:			
						Alterations - Com	mercial		
Proposed	Use:			P	roposed	Project Description:			
City Ha	all Plaza - Plaza Re	storation		F	Plaza F	Restoration			
{									
Dept:	Historic	Status:	Approved with Condition	is <b>Revi</b>	ewer:	Deborah Andrew	s Approval Da	ate:	06/20/2007
Note:								Ok to I	ssue: 🔽
1) * N	lote: This approva	l shall no	t extend to the proposed al	Iteration to	the gr	anite paving stone	within the semi-cicu	lar plaza	, which is
inte	nded to accommod	late instal	lation of the annual Christ	mas tree. T	his alt	eration will require	e separate review and	i approv	al by the
His	toric Preservation I	Board.							
Dent:	Zoning	Status:	Approved	Revie	ewer:	Marge Schmucka	Approval Da	ate:	06/04/2007
Note:	Louing	Dimitabl					<b>F</b> F	Ok to I	ssue: 🗸
note:								011101	
e e e e e e e e e e e e e e e e e e e									
Dept:	Building	Status:	Approved with Condition	is <b>Revi</b> e	ewer:	Jeanine Bourke	Approval Da	ate:	07/11/2007
Note:	U							Ok to I	ssue: 🔽
1) Sec	. 3407 of the IBC 2	2003 allov	ws historic exceptions to th	ne code					
Dept:	Fire	Status:	Approved with Condition	is <b>Revi</b> e	ewer:	Capt Greg Cass	Approval Da	ate:	06/27/2007
Note:								Ok to I	ssue: 🔽
1) Har	drails do not meet	current c	odes. {Historic}						

7/10/2007-jmb: Spoke with John Turk about the soils investigation and specs on the MDOT fill. He will get from SW Cole and send electronically.

7/10/2007-jmb: Received documents, ok to issue

7/10/2007-jmb: Left voicemsg with Deb A. To see about more handrails



# **General Building Permit Application**

If you or the property owner owes real estate or personal property taxes or user charges on any property within the City, payment arrangements must be made before permits of any kind are accepted.

Location/Address of Construction: Portlano	City Hall Plaza 389 Congre.	rs Street		
Total Square Footage of Proposed Structure	Square Footage of Lot			
Tax Assessor's Chart, Block & Lot Chart# Block# Lot# 27 C /2	Owner: City of Portland	Telephone:		
Lessee/Buyer's Name (If Applicable)	Applicant name, address & telephone: Lumus Construction, Inc 175 Lancaster Street	Cost Of Work: <b>\$ <u>438,500</u></b> Fee: <b>\$</b>		
Current legal use (i.e. single family) If vacant, what was the previous use? Proposed Specific use:				
Is property part of a subdivision? If yes, please name Project description: Plaza Restoration ~				
Contractor's name, address & telephone: Who should we contact when the permit is read Mailing address:	ly: <u>Mathew Sauvageau</u> Phone: 522-8620			
175 Lancasten Street, St Portland, ME O	4101			

Please submit all of the information outlined in the Commercial Application Checklist. Failure to do so will result in the automatic denial of your permit.

In order to be sure the City fully understands the full scope of the project, the Planning and Development Department may request additional information prior to the issuance of a permit. For further information or to download copies of this form and other applications visit the Inspections Division on-line at <u>www.portlandmaine.gov</u>, or stop by the Inspections Division office, room 315 City Hall or call 874-8703.

I hereby certify that I am the Owner of record of the named property, or that the owner of record authorizes the proposed work and that I have been authorized by the owner to make this application as his/her authorized agent. I agree to conform to all applicable laws of this jurisdiction. In addition, if a permit for work described in this application is issued, I certify that the Code Official's authorized representative shall have the authority to enter all areas covered by this permit at any reasonable hour to enforce the provisions of the codes applicable to this permit.

	1	1		
Signature of applicant:	iA		Date	
	V			

This is not a permit; you may not commence ANY work until the permit is issued.



**Certificate of Design Application** 

From Designer:	ttl-architects, LLC
Date:	1 June 2007
Job Name:	City Hall Plaza Restoration
Address of Construction:	389 Congress Street, Fortland

**2003 International Building Code** Construction project was designed to the building code criteria listed below:

Building Code & Year 1BC 13 Use Group Classification	(s) $n/a$
Type of Construction $M/c$	
Will the Semantice have a First surger state in Association with S	
will the Structure have a Fire suppression system in Accordance with Se	ection 903.3.1 of the 2003 IRC
Is the Structure mixed use? _ <b>n/a</b> If yes, separated or non separ	rated or non separated (section 302.3)
Supervisory alarm System? _n/_aGeotechnical/Soils report rec	quired? (See Section 1802.2) <u>yes, performed</u>
Structural Design Calculations	Live load reduction
Submitted for all structural members (106.1 – 106.11)	Roof <i>live</i> loads (1603.1.2, 1607.11)
	Roof snow loads (1603.7.3, 1608)
Design Loads on Construction Documents (1603) Uniformly distributed floor live loads (7603.11, 1807)	Ground snow load, Pg (1608.2)
Floor Arca Use Loads Shown	If $Pg > 10$ psf, flat-roof snow load $p_f$
	If $P_g > 10$ psf, snow exposure factor, $_G$
	If $Pg > 10$ psf, snow load importance factor.
	Roof thermal factor, <sub><i>G</i></sub> (1608.4)
	Sloped roof snowload, p3(1608.4)
Wind loads (1603.1.4, 1609)	Seismic design category (1616.3)
Design option utilized (1609.1.1, 1609.6)	Basic seismic force resisting system (1617.6.2
Basic wind speed (1809.3)	Response modification coefficient, R1 and
Building category and wind importance Factor, <u>b</u> , table 1604 5, 1609 5).	deflection amplification factor <sub>Cl</sub> (1617.6.2)
Wind exposure category (1609.4)	Analysis procedure (1616.6, 1617.5)
Internal pressure coefficient (ASCE 7)	Design base shear (1617.4, 16175.5.1)
Component and cladding pressures (1609.1.1, 1609.6.2.2)	Flood loads (1803 1 6 1612)
Main force wind pressures (7603.1.1, 1609.6.2.1)	
Carth design data (1603.1.5, 1614-1623)	Flood Hazard area (1612.3)
Design option utilized (1614.1)	Elevation of structure
n buildingeismic use group ("Category")	Other loads
Spectral response coefficients, SDs & SD1 (1615.1)	Concentrated loads (1607.4)
Site class (1615.1.5)	Partition loads (1607.5)

Building Inspections Division • 389 Congress Street • Portland, Maine 04101 • (207) 874-8703 • FACSIMILE (207) 874-8716 • TTY (207) 874-8936

Misc. loads (Table 1607.8, 1607.6.1, 1607.7, 1607.12, 1607.13, 1610, 1611, 2404



Certificate of Design

Date:

1 June 2007

From:

John Turk, AlA

These plans and / or specifications covering construction work on:

Portland City Hall Plaza Restoration Phase 1.

Have been designed and drawn up by the undersigned, a Maine registered Architect / Engineer according to the *2003 International Building Code* and local amendments.

	Signature:	John Tunn
	Title:	Principal
(SEAL)	Firm:	ttl-architects, LLC
JOHN TURK No. 2059	Address:	28 Panforth St. #213
	-	Portland, ME 04101
	Phone:	761.9662

For more information or to download this form and other permit applications visit the Inspections Division on our website at www.portlandmaine.gov

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# Accessibility Building Code Certificate

Designer:	HI-architects, LLC
Address of Project:	389 Congress Street, Portland
Nature of Project:	Portland City Hall Plaza Restoration.
	Restoration of Central and Upper
	Plaza granite and Foundation.

The technical submissions covering the proposed construction work as described above have been designed in compliance with applicable referenced standards found in the Maine Human Rights Law and Federal Americans with Disability Act. Residential Buildings with 4 units or more must conform to the Federal Fair Housing Accessibility Standards. Please provide proof of compliance if applicable.

	Signature:
(SEAL)	Title: Principal
	Firm: <u>ttl-architects</u> , LLC
	Address: 28 Day Forth St. #213
	Portland, ME 04101
	Phone: 761.9662

For more information or to download this form and other permit applications visit the Inspections Division on our website at www.portlandmaine.gov

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## Jeanie Bourke - City Hall Plaza permit

there are a set of the set of the

From:"John Turk" <johnturk@ttl-architects.com>To:<jmb@portlandmaine.gov>Date:7/10/2007 11:35 AMSubject:City Hall Plaza permitCC:"Brad Beisswanger" <brad@lumusinc.com>, "Leeman Bob" <bob@portlandmaine.gov>

#### Jeanne,

Attached are the SW Cole's Boring Tests and recommendations along with our Compaction and Structural Fill specification sections. Please let me know if there is anything else you may need to issue the permit. Thanks.

John

John Turk, AIA ttl-architects, Ilc 28 Danforth Street, Suite 213 Portland, ME 04101 t: 207.761.9662 f: 207.761.9696 johnturk@ttl-architects.com

#### SECTION 02220 - EXCAVATION, BACKFILL, AND COMPACTION

PART 1 - GENERAL

- 1.01 GENERAL PROVISIONS:
  - A. Documents affecting Work of this Section include, but are not necessarily limited to, THE CONDITIONS OF THE CONTRACT General Conditions, Supplementary Conditions, Addenda and all Sections of Division 1, which are hereby made a part of this Section.
  - B. Coordinate Work with that of other trades affecting or affected by Work of this Section. Cooperate with such trades to assure the steady progress of the Work.
  - C. The Standard Specifications referred to herein is the book entitled "Standard Specifications, Highways and Bridges" published by the State of Maine Department of Transportation dated April, 1995 as supplemented, excluding the following portions thereof: DIVISION 100, SECTIONS 102 THROUGH 109; NUMERICAL INDEX OF PAYMENT ITEMS INCLUDED IN EACH SECTION. Those Sections of the aforementioned Standard Specifications which are cited herein are applicable to the Work of this Contract as they may be modified, amplified, or added to by this Section.
  - D. Reference is made to OSHA Safety and Health Standards for the Construction Industry, 29 CFR 1926/1910, Latest Revision.

#### 1.02 DESCRIPTION OF WORK:

- A. Provide all labor, material, equipment and services required to complete the following:
  - 1. Excavation, trenching, filling, backfilling and compaction as required, for the site drainage and the removal and replacement of unsuitable subsurface materials.
  - 2. Provide and install compacted granular fill as necessary for site, roadway, and street subgrades, and crushed stone or sand bedding materials for underdrain system, etc.; all as required to complete the construction as indicated on the Contract Drawings and as specified herein.
  - 3. Provide all necessary sheeting, shoring and bracing to protect the Work and assure safety of Workers, adjacent property and the public.
  - 4. Maintenance of all excavations free from water.
  - 5. Removal and proper disposal of all loose or disturbed ash fill, marine deposits, glacial till, or other unsuitable material within the specified areas, retaining wall areas, or other subgrade areas, as directed by the Project Architect, and replacement with suitable compacted fill as directed.

#### Portland City Hall Plaza Restoration

- 6. Pre-compact undisturbed original soil or existing fill as appropriate and as specified, prior to construction and placement of new fill and backfill.
- 7. Coordinate field density tests as required herein and as directed by the Project Architect.
- B. Related Work specified elsewhere:

Site Special Conditions - Section 02000 Site Preparation - Section 02100 Grading - Section 02210 Gravel Base Courses - Section 02230 Slope Protection & Erosion Control - Section 02270 Paving, Walks & Curbing - Section 02500 Storm Drainage Systems - Section 02720

## 1.03 PROTECTION:

- A. Paved surfaces: Do not operate equipment on paved surfaces. Paved surfaces outside the specified limits of Work which become damaged shall be repaved by the Contractor at no additional cost to Owner.
- B. Maintain excavations with approved barricades, lights, and sign to protect life and property until excavation is filled and graded to a condition acceptable to the City of Portland.
- C. Protect structures, utilities, sidewalks, pavements, monitoring wells, and other facilities from damage caused by settlement, lateral movement, undermining, washout and other hazards created by earthwork operations. The Contractor shall be responsible for actual cost of repair or replacement of any items damaged as a result of construction activities. This cost shall include any professional services required for inspection of repairs or replacements.
- 1.04 QUALITY ASSURANCE; SUBMITTALS:
  - A. General: Comply with requirements of SECTION 01400 SUBMITTALS, QUALITY REQUIREMENTS and 01416 - SOIL TESTING.
  - B. Field Measurements:
    - 1. Verify that survey horizontal and vertical control reference points are present and correct as indicated. Protect from disturbance during the course of the Work, or correctly re-establish as necessary. Refer to Section 02011 Layout of Work.
    - 2. During construction, provide all necessary line and grade staking to properly control the Work, as specified in Section 02011 Layout of Work.

C. Codes and Standards:

- 1. American Society for Testing Materials (ASTM), Latest Edition:
  - D422 Method for Particle Size Analysis of Soils
  - D698 Test for Moisture-Density Relations of Soils Using 5.5 lb. (2.5 kg) Rammer and 12 inch (304.8mm) Drop (Standard Proctor)
  - D1556 Test for Density of Soil in Place by the Sand Cone Method
  - D1557 Test for Moisture-Density Relations of Soils using 10-lb (4.5 kg)Rammer and 18-inch (457 mm) Drop (Modified Proctor)
  - D2216 Laboratory Determination of Moisture Content of Soil
  - D2210 Laboratory Determination of Proisture Content of C
     D2487 Classification of Soils for Engineering Purposes
  - D2922 Tests for Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
  - D3017 Test for Moisture Content of Soil and Soil-Aggregate in Place byNuclear Methods (Shallow Depth)
- 2. American Association of State Highway and Transportation Officials (AASHTO), Latest Edition: T 88 Mechanical Analysis of Soils.
- D. Testing and Inspection:
  - 1. The Owner may engage a testing and inspection service for excavation classification and quality control testing during earthwork operations.
  - 2. The Contractor shall assist Testing Agency as required and shall deliver samples of all materials required to the Testing Agency at Contractor's expense. Coordinate testing with Owner and Project Representative.
  - 3. The Project Representative may inspect critical phases of earth excavation, subgrade excavation, fill placement, and footing excavation as directed by the Project Architect, prior to placement of fill materials, pavement bases, or footings. Coordinate with Owner and Project Representative for inspection of Work.
  - 4. Tests for Proposed Soil Materials:
    - a) Test soil materials proposed for use in the Work and promptly submit test reports to Project Architect.
    - b) For granular fill materials, perform a mechanical analysis (ASTM D422) and moisture-density curve (ASTM D-1557 modified).
       Submit samples and test results to Project Architect prior to placement of fill.
  - 5. Tolerances:
    - a) Maintain the moisture content of fill material as it is being placed within plus or minus two percent of the optimum moisture content of the material as determined by the laboratory tests herein specified.
    - b) Grading See Section 02210.
  - E. Submittals:

#### Portland City Hall Plaza Restoration

- 1. Soils material test results for compacted granular fill, granular borrow, stone bedding material, and sand bedding material.
- 2. Field density test results.

### 1.04 SITE CONDITIONS:

A. See Geotech report prepared by S.W. Cole, dated 15 August 2006. This report is attached for contractor information only.

#### PART 2 - PRODUCTS

### 2.01 MATERIALS:

- A. Fill Material from On-Site Excavations:
  - 1. Suitable excavated material may be used for general filling and rough grading of the site detention pond embankments, provided the soil can be compacted to required density. On-site material shall not be used as fill beneath or adjacent to structures, parking areas, or roadways, unless it is tested and meets the appropriate requirements for granular borrow or structural fill, as outlined below.
  - 2. Unsuitable soils are materials that cannot be compacted to required density or contain organic material, peat, muck, coal, ash or debris, pavement stones or boulders greater than 12 inches in any dimension, frozen material, and material, in the opinion of the Project Architect, will not provide a suitable foundation or subgrade.
- B. Compacted Structural Fill: Structural granular fill shall be used below and adjacent to the exterior foundation walls and where indicated in Contract Documents. Compacted structural fill shall consist of sand and gravel of hard durable particles, free of organic material, loam, lumps or balls of clay, trash, snow, ice, frozen soil, stones over 4-inch diameter, or other objectionable material. The gradation of that portion of the material passing a 3-inch sieve shall meet the following limits:

Sieve Designation Percentage by Weight Passing Square Mesh Sieve

3 Inch 100 ¼ Inch 25-70 No. 40 0-30 No. 200 0-5

C. Granular Borrow: Granular borrow shall be used as fill to raise site grades to sub-grade below pavement areas. Granular borrow shall be suitable sand or gravel, uniformly graded granular material, free of organic materials, loam, lumps or balls of clay, trash, snow, ice, frozen soil, stumps over 6-inch diameter, or other objectionable material. The gradation of that portion of the material passing a 3-inch sieve shall meet the following limits:

Sieve Designation Percentage by Weight Passing Square Mesh Sieve

6" 100 ¼" 25-70 No. 40 0-30 No. 200 0-7

Material encountered during on-site excavation which falls within the above specification and proven by testing in accordance with these specifications, may be stored in segregated stockpiles for reuse as Granular Borrow.

D. Stone Bedding Material: Crushed drainage stone for all underdrains, and pipe or structure bedding material shall conform to MDOT Specification 703.22, Type C, for underdrain backfill material, as presented below:

Sieve Designation Percentage by Weight Passing Square Mesh Sieve 1 in. 100 <sup>3</sup>/<sub>4</sub> in. 95-100 <sup>1</sup>/<sub>2</sub> in. 35-70 3/8 in. 0-20 No. 200 0-5

E. Sand Bedding Material: Sand bedding material for the water lines, electrical and telephone lines and conduits shall be clean granular material, free from lumps, balls of clay, and organic material, and shall conform to the following table:

Sieve Size Max. % Passing by Weight

- 1" 100 <sup>1</sup>/<sub>2</sub> in. 75-100 No. 4 50-100 No. 20 15-80 No. 50 0-15 No. 200 0-5
- F. Select Borrow: Sieve analysis by weight: Sieve Size Max. % Passing by Weight 3" 100 1" 95-100 No. 4 75-100 No. 40 50-85 No. 200 30-60
- G. Common Borrow: Earth suitable for general fill construction, free from frozen material, plastic clay, vegetation, perishable rubble, peat and other unsuitable materials. The moisture content shall be sufficient to provide required compaction and stable embankment. In no case shall the moisture content exceed 4% above optimum as determined by ASTM D698.
- H. Refill Material: 3/4" crushed stone, for refilling excavation below normal grade, rock excavation or refilling excavated unsuitable material unloss otherwise directed by the Project Represent

I. Select Backfill: Use structural fill or 3/4" crushed stone as directed by the Project Architect.

#### PART 3 - EXECUTION

#### 3.01 PREPARATION:

- A. Be sure that all necessary erosion and sedimentation controls are in place and properly maintained, prior to and during any earthwork.
- B. Locate and mark any and all existing underground and aboveground utilities before beginning any earthwork. Notify "Dig-Safe" (1-888-344-7233) at least 3 days prior to beginning any excavation Work.
- C. Remove and properly dispose of any pavement, structures, fences, etc. scheduled for removal (See Section 02100 Site Preparation). Save and properly store any materials scheduled for re-use.
- D. In conjunction with the Owner and Project Representative, designate acceptable temporary poil and waste areas as necessary, for on-site storage of waste, demolition material, loam, earth, etc. Properly store until disposal of materials is completed throughout the course of the Work, and maintain all such areas as necessary.
- E. Contractor shall secure any necessary sources of borrow materials promptly, so that earthwork may proceed expeditiously once started. Complete all earthwork activities in a given area as soon as possible once the area is stripped.

#### 3.02 EARTH EXCAVATION:

- A. Excavation consists of the removal, re-use as site fill, and/or satisfactory disposal of all materials encountered, to establish sub-grades shown on the Plans. Maintain surface drainage to prevent ponding or erosion. Do not excavate extremely wet or frozen material. In wet areas, provide pumping equipment or grade the area so that water drains from the soil to allow proper excavation or drilling operations. Provide any special equipment necessary to perform earthwork operations. When excavating near trees to be saved, prune exposed roots to minimize injury to same.
  - 1. Mass excavation removal of soil materials in open areas where equipment with blade or bucket, such as bulldozer, front end loader, or earth scraper is used.
  - 2. Trench excavation removal of soil materials in confined areas, such as trenches or pits, where equipment such as backhoe, shovel, clamshell or trencher is used.
- B. Contractor shall remove all existing material, including but not necessarily limited to soil, rubble, debris, trash, existing stone or masonry walls, minor structures, unsuitable material and soils of all types. If the Contractor uncovers material of a suspicious or hazardous nature, regulated material, unhealthful or contaminated soils or other unanticipated conditions,

02220 - 7

immediately inform the Project Representative, and do not proceed in affected areas until instructed to do so in writing by the Project Representative. Unanticipated conditions will be handled on a unit price basis per Division 1.

- C. Contractor shall remove existing site materials as follows:
  - 1. Concrete Mudslabs As defined on Drawings and in these documents.
  - 2. Unsuitable Fill Materials As defined on Drawings and in these documents.
  - 3. Utility Trenches Excavate to required depths below pipe invert or utility structure base, allowing for pipe bedding material or gravel base material, as defined on Drawings and in these documents.
  - 4. Restored Pavers and Concrete Sidewalks Excavate to required depths below finish grades, allowing for new material and base material, as defined on Drawings and in these documents.
- E. Excavations carried below the depths indicated or as required for construction, without written authorization from the Project Representative, shall be filled with concrete or compacted structural fill, as specified by the Project Representative, at the Contractor's expense.
- F. When excavating and filling around tree areas protect root systems of trees to be saved. Prune roots as directed. (See Section 02100, SS 3.02 Protection of Trees)

#### 3.03 STRUCTURAL EXCAVATION:

- A. Conform to elevations and dimensions shown within a tolerance of 0.05 ft., and extending a sufficient distance from footings and foundations to permit proper foundation and subdrain construction.
- B. Excavate all unsuitable materials to the material limits, extending beyond all footings in fill a distance equal to the depth of fill required below the footing plus two feet on all sides. Final excavation to subgrade in marine deposits shall be made with equipment suitable for the purpose (such as with a smooth bladed backhoe or by hand) so as to minimize disturbance to bearing surfaces.
- C. In all cases, excavate all ash.
- D. For adequate frost protection, excavation for all exterior foundations, utility underdrains, etc., must extend to a minimum depth of 4.5 feet below the lowest surrounding exterior grade. Protect bottoms of excavations from freezing when atmospheric temperature is below 35 degrees Fahrenheit or as directed by Project Representative.
- E. All backfilling and compaction Work shall be reviewed and tested as specified prior to the pouring of footings. The Contractor shall notify the Project Representative when areas are ready for testing, and shall have the Project

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Representative review comments in writing prior to beginning the construction of footings. See requirements for SS 3.13 - Compaction, below.

- 3.04 TRENCH EXCAVATION AND BACKFILL (Utilities):
  - A. Trench excavation includes excavation for underground lines and structures as shown on the Plans. Make trench walls as near vertical as practical, consistent with OSHA requirements (See OSHA Regulations - 29 CFR 926.65-" Excavations" -Appendix B) and safe Working practices. Shore and brace as necessary. Keep excavations free from water in order to carry on Work properly.
  - B. Do not excavate to full depth in freezing temperature unless structure or line can be installed immediately. Protect excavation bottom from freezing.
  - C. Excavation shall be made to such a point as to allow a minimum of six inches (6") of bedding to be placed beneath the bottom of all barrels, bells or couplings of all pipes installed. The maximum clear width of trench at the top of the pipe shall be not more than the outside diameter of the pipe plus two feet. The bottom of the trench shall be accurately graded to provide a uniform layer of bedding material for each Section of pipe. Safety shall be the controlling factor in determining minimum trench widths.
  - D. Before installation of any sewer or drain pipe, the Contractor shall first place and consolidate a minimum six inch (6") layer of 3/4" crushed stone or approved bedding on the trench bottom. After the pipe has been laid, additional crushed stone or bedding shall be placed and consolidated to the crown of the pipe. The trench shall then be carefully backfilled with stone (or sand, if required) to 12" above the crown of the pipe, backfill shall be deposited in six inch (6") layers, thoroughly consolidated by hand or mechanical tampers, until the pipe has at least twelve inches (I2") of cover over the top of the pipe. (Refer to "Typical Pipe Installation Detail" on Plans.)
  - E. The remainder of the trench shall be backfilled as follows:
    - 1. In Roads, Walks, Drives, Etc.:
      - a) The area between a line 12 inches over the top of the pipe and a line at sub-grade elevation below finished pavement (see pavement details on Plans), shall be carefully backfilled in not over twelve (12) inch layers using suitable material taken from the excavation or approved granular borrow hauled in for the purpose, as meets the specifications. No mud, frozen earth, or stone larger than four (4) inches in diameter is to be used for trench backfilling.
      - b) The trench shall be consolidated by tamping, rolling, or other mechanical means, as proposed by the Contractor. If the pipe is displaced from alignment by settlement or compaction, it shall be re-laid at the Contractor's expense.
      - c) The remaining distance to the top of the trench shall be filled with road subbase and base gravel as specified and detailed,

hauled in for the purpose and furnished by the Contractor. This gravel shall be placed, graded and compacted as specified in layers to the finished surface. (See Section 02230 - Gravel Base Courses).

- F. After the completion of all backfilling operations, the Contractor shall grade the site to the lines, grades and elevations shown on the Contract Drawings, taking into account any subsequent topsoil and paving requirements. Finished grading shall not be done until the installation of all underground utilities has been completed in the affected area.
- G. When installation of utilities is in fill areas, place compacted fill to proposed height of top of pipe and then trench to required elevation to set pipe. Extend fill at least 10 ft. on both sides of pipe. Backfill around pipe as specified above and compact to required density.

#### 3.05 DEWATERING:

- Control surface and sub-surface runoff so as not to allow water to enter or Α. accumulate in excavations or areas to be filled. Remove water from excavations to prevent softening of foundation bottoms, undercutting footings, and soil changes detrimental to the stability of subgrades, foundations and undisturbed bearing surfaces. Control water level to at least 12 inches below subgrade elevation to reduce disturbance of the subgrade soils and provide a more stable Working surface during construction. Provide and maintain sumps, pumps, suction and discharge lines and other dewatering systems and components necessary to convey water away from the work area. Discharge water in safe, legal manner into temporary sedimentation ponds or existing waterways or drainage systems, as required. Do not allow water carrying soil particles to be discharged directly to existing waterways or drainage systems. Refer to Erosion Control Plan for measures to be taken to remove soil particles from water. Under no conditions shall surface water or groundwater be discharged into sanitary sewer systems.
- B. Install sedimentation basins or other sedimentation controls as required, to prevent sediment damage downstream. Correct any erosion or sedimentation problems as directed at no additional cost to the Owner. Conform to all applicable regulations of the Cumberland County Natural Resources Conservation Service, Maine Department of Environmental Protection, and U.S. Environmental Protection Agency NPDES Permit Requirements.

#### 3.06 UNSUITABLE SOILS:

- A. Test pits and borings have been taken on the site. Refer to the Geotechnical Report Prepared by S.W. Cole, dated 15 August 2006, and the project Drawings. These borings are for information only and are not and in no way shall they be construed as a guarantee as to actual subsurface conditions. Refer to Section 02220-1.05.
- B. Field locate the limits of and properly remove and dispose of all encountered unsuitable subsurface materials when so directed by the Project Representative. All such materials shall become the property of the Contractor and shall be properly disposed of off-site.

- C. Secure all required state and local permits necessary for removal and disposal of any sewerage, toxins, or any other such classified materials that may be encountered on-site.
- D. Unsuitable material such as peat, muck, soils with high organic content, or junk fill which underlies the subgrade or the bottom of excavations, outside the normal limits of excavation as shown on the Drawings, shall be removed and replaced with suitable material when necessary to meet the specifications. The "Contract Price" will be adjusted in accordance with the General Conditions and Supplementary General Conditions to compensate for the cost of additional excavation and suitable replacement materials.
- E. Unsuitable material which lies within the limits of required excavation will be removed as part of the Work, without change in the "Contract Price".
- F. Soil rendered unsuitable for bearing by the Contractor's operations shall be removed at the Contractor's expense, and replaced with compacted gravel, crushed stone or concrete, at no expense to the Owner.
- G. Unsuitable soils shall be legally disposed of off-site at the Contractor's expense.

### 3.07 ROCK EXCAVATION:

A. Rock excavation is not anticipated as a part of the project. Rock excavation shall be defined as: All rock, ledge, or boulders in undisturbed soil that cannot be removed by a mechanical scarifier and power shovel of 1.0 cubic yards capacity, and/or D-8 bulldozer with ripper, without use of line drilling or explosives. Boulders 2 cubic yards or smaller shall be considered to be unclassified material regardless of location. During excavations if stone in excess of 2 cubic yards is encountered and cannot be moved it will be considered as "rock" as herein defined.

## 3.08 FROST:

- A. No fill materials shall be placed when the subgrade, the fill material, or the previous lift on which fill is to be placed is frozen. In the event that the subgrade or any fill which already has been placed becomes frozen, it shall be thawed, scarified and then recompacted, or else removed, to meet the compaction requirements of the specifications before the next lift is placed. Any soft spots resulting from frost shall be removed or recompacted to meet the requirements of compaction specified herein before new fill material is placed.
- 3.09 FILL AND BACKFILL:
  - A. Filling is the placement of satisfactory soil materials, whether originating from on-site or off-site, in areas where existing grade is to be raised in elevation. Where the existing ground has a density less than that specified herein (see SS 3.13 - "Compaction"), compact the soil to the required density. Where unsatisfactory soils occur in areas to be filled, remove same before filling and dispose of these soils in areas designated by the Specifications (see SS 3.06).

- B. Backfilling is the placement of satisfactory porous materials in trenches, pits, against structures or other areas previously excavated, or as shown on the Plans.
- C. Place fill and backfill in not over 12" layers (loose measure) and compact each layer to required density (see "compaction"). Areas to be filled and backfilled must be free of standing water. Do not place fill or backfill on surfaces that are muddy, frozen, or contain frost or ice.
- D. Backfilling at the foundation walls shall only be started after review by the Project Representative or his/her representative.
- E. On existing slopes of 4:1 or steeper, excavate horizontal benches 6' wide every 2' vertically to eliminate shear planes before placing any fill.
- F. Filling and backfilling of walls, foundations, trenches or retaining walls shall not commence until construction below finish grade has been inspected, forms removed and the excavation cleared of trash and debris. Stones larger than 2" maximum dimension will not be permitted in the upper layer of fill.
- G. Filling and backfilling of utility trenches shall not commence until all piping, conduit, etc., has been installed, tested and approved and the locations of all pipe, fittings, and underground structures have been measured and recorded. Fill and/or backfill shall be carefully placed by hand around the pipe or conduit to a depth of one foot above the top of the pipe and shall be carefully tamped, in not less than two lifts; compaction shall be done with hand rammers or mechanical tampers so as not to damage the completed pipe.
- H. The Contractor shall assume responsibility for site surface and subsurface drainage and shall maintain such drainage during the life of the Contract, and shall at all times protect adjacent property, structures and utilities.
- 3.10 PLACEMENT OF COMPACTED GRANULAR BORROW:
  - A. In roadway and parking areas, compacted granular fill shall be used for all filling and backfilling to subgrade.
  - B. Compacted Granular Borrow should be placed in maximum 8" thick lifts and compacted to the densities as specified herein, as determined by ASTM D1557 (Modified Proctor). Before placing the first lift of compacted granular fill, all disturbed soil, loose rock, organic matter, asphalt, concrete, trash, rubble or other deleterious or compressible material shall be removed from the subgrade. The granular fill shall be spread evenly by mechanical equipment or by manual means above the designed (compacted) subgrade, and shall be built up in horizontal layers as nearly even as practicable to prevent the thickness of lift from exceeding that specified.
  - C. If the Granular Borrow material becomes too wet for the required compaction, the fill shall be dried to within the required moisture/density relationship prior to commencing or continuing compaction operations. Likewise, if the fill material becomes too dry for the required compaction, the fill shall be

moistened to the required moisture/density relationship prior to commencing or continuing compaction operations.

- D. Puddling methods of compaction will not be permitted.
- 3.11 PLACEMENT OF COMPACTED STRUCTURAL FILL:
  - A. In all footing and wall areas, Compacted Structural Fill shall be used for all filling and backfilling to subgrade, as indicated on the Drawings.
  - B. Compacted Structural Fill shall be placed in maximum 8" thick lifts and compacted to the densities as specified herein as determined by ASTM D1557 (Modified Proctor). Before placing the first lift of compacted structural fill, all disturbed soil, loose rock, organic matter, asphalt, concrete, trash, rubble or other deleterious or compressible material shall be removed from the subgrade. The structural fill shall be spread evenly by mechanical equipment or by manual means above the accepted (compacted) subgrade, and shall be built up in horizontal layers as nearly even as practicable, to prevent the thickness of lift from exceeding that specified.
  - C. If the Structural Fill material becomes too wet for the required compaction, the fill shall be dried to within the required moisture/density relationship prior to commencing or continuing compaction operations. Likewise, if in the opinion of the Project Representative, the fill material becomes too dry for the required compaction, the fill shall be moistened to the required moisture/density relationship prior to commencing or continuing compaction operations.
  - D. Puddling methods of compaction will not be permitted.
- 3.12 COMPACTION:
  - A. All fill and backfill shall be placed in maximum 8-inch lifts and compacted in accordance with the following: Density
    - 1) Exterior Foundation Areas 95%
    - 2) Walkway Area 92%
    - 3) Road base and subbase 95%
    - 4) Pipe bedding and backfill 95%

Refer to Section 02230 – Gravel Base Courses for appropriate compaction requirements of subbase and base materials.

- B. The in-place density and the degree of compaction shall be determined in accordance with ASTM D 2922 (nuclear in-place density) as Work progresses. Each layer of fill shall be placed and tested and no succeeding layers shall be placed until review of the previous layer has occurred. Any corrective Work required as a result of the testing and the expense of re-testing shall be borne by the Contractor, at no additional cost to the Owner.
- C. Backfill of excavations and walls: Any excavation (e.g. utilities, walls, footings, etc.) made in areas where compacted granular or structural fill is required, shall be backfilled with compacted granular or structural fill as designated. Where compacted fill is placed adjacent to walls, the difference in

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elevation of the top of the fill on either side of the wall can be no more than one foot at any time, or else the wall must be adequately braced.

D. Final Review: Immediately before placing of footings, foundations, or floor slab on compacted structural or stone fill or virgin soil, the Project Representative will observe the foundation and floor slab subgrade. The Contractor shall remove any soft fill if directed, and replace it with properly compacted material. The pouring of foundations or floor slab shall commence within 24 hours of final approval. Rain, frost and other factors (which, in the opinion of the Project Representative, are potentially damaging to the fill) occurring after the final review, but before or during pouring, shall require an additional observation of the compacted fill for acceptance by the Project Representative.

#### 3.13 FIELD QUALITY CONTROL:

- A. All of the material testing shall be performed by a testing laboratory experienced in performing the required tests, and shall be reviewed by the Project Representative. The Owner shall employ the testing laboratory and pay for all of the tests, except for retesting as specified below. The Contractor shall be responsible for coordination with the Project Representative and testing laboratory, providing a minimum of 48 hours notice prior to the need for testing.
- B. Materials test reports and in-place field density test reports shall be submitted by the testing laboratory directly to the Project Representative with a copy to the Contractor.
- C. Refer to Part 1, Section 1.03, Quality Assurance for the Standards for Testing.
- D. The actual locations of all tests shall be determined in the field by the Project Representative, or his representative.
- E. The in-place field density tests shall be taken generally as follows:
  - 1. Paved Areas and Building Slab Subgrade: Make at least one field density test of subgrade for every 2000 sq. ft. of paved area and 1000 sq. ft. of building slab, but in no case less than 3 tests. In each compacted fill layer, make one field density test for every 2000 sq. ft. of paved area and 1000 sq. ft. of overlaying building slab, but in no case less than 3 tests.
  - 2. Foundation Wall Backfill Outside of Structure: Make at least ten field density tests at locations and elevations as directed by Project Representative.
  - 3. Fill and backfill other than at the walls and foundations: One (1) test will be required for each 500 cubic yards of fill and backfill.
  - 4. Backfill in utility trenches: One (1) test will be required for each 75 lineal feet of trench line, for each 2' vertical depth of backfill and at the finished subgrade.

- F. If any of the in-place field density tests as specified above indicate that the compaction is less than specified herein, the extent of the unacceptable compaction shall be clearly established by additional testing. All of the material which has failed to meet the compaction requirements shall be removed, refilled or re-backfilled, compacted and tested until the specified compaction requirements are met. All of the corrective Work shall be in accordance with the Contract Documents, and all costs related to the corrective Work and testing shall be paid by the Contractor.
- 3.15 DISPOSAL OF EXCESS AND WASTE MATERIALS:
  - A. Transport waste material, including unsuitable subsurface materials, trash, stumps and debris, to off-site disposal area in accordance with these Specifications, and local regulations, at Contractor's expense.
- 3.16 MAINTENANCE AND RESTORATION:
  - A. Protection of Graded Areas: Protect newly graded areas from traffic and erosion, and keep free of trash and debris.
  - B. Repair and re-establish grades in settled, eroded, and rutted areas to specified tolerances, until final project acceptance. Remove accumulated silt and soil from detention basin as directed during the project by the Project Representative.
  - C. Reconditioning Compacted Areas: Where completed compacted areas are disturbed by subsequent construction operations or adverse weather, scarify surface, re-shape, and recompact to required density before proceeding with other affected Work.
  - D. Restore planting beds, pavement, walks and curbs, or other exterior surfaces displaced or marked by the Work of this Contract, to their original conditions, to the satisfaction of the Project Representative or his representative.
  - E. Restore areas affected by settlement, due to the Work of the Contractor, to original lines, grades or levels. Correct conditions contributing in any way to such settlement in a manner acceptable to the Project Representative. Remove and replace any improperly placed or compacted fill material at no additional cost to the Owner.

END OF SECTION

#### SECTION 02230 - GRAVEL BASE COURSES

PART 1 - GENERAL

- 1.01 GENERAL PROVISIONS:
  - A. Documents affecting Work of this Section include, but are not necessarily limited to, THE CONDITIONS OF THE CONTRACT General Conditions, Supplementary Conditions, Addenda and all Sections of Division 1, which are hereby made a part of this Section.
  - B. Coordinate Work with that of other trades affecting or affected by Work of this Section. Cooperate with such trades to assure the steady progress of the Work.
  - C. The "Standard Specifications" referred to herein is the book entitled "Standard Specifications, Highways and Bridges" published by the State of Maine Department of Transportation dated April, 1995, as supplemented, excluding the following portions thereof: DIVISION 100, SECTIONS IO2 THROUGH I09; NUMERICAL INDEX OF PAYMENT ITEMS INCLUDED IN EACH SECTION. Those Sections of the aforementioned Standard Specifications which are cited herein are applicable to the Work of this Contract as they may be modified, amplified or added to by this Section.

#### 1.02 DESCRIPTION OF WORK:

- A. Provide labor, materials, equipment and services necessary for proper and complete installation of the gravel subbase and base courses for pavement, sidewalk, and concrete foundation areas, as indicated on the Drawings and herein specified:
  - 1. Preparation of subgrade
  - 2. Gravel subbase course.
  - 3. Gravel base course.
  - 4. Gravel shimming.
  - 5. Materials and compaction testing as required.
- B. Related Work specified elsewhere:

Site Preparation - Section 02100 Grading - Section 02210 Excavation, Backfill and Compaction - Section 02220 Erosion Control & Slope Protection - Section 02270 Paving, Walks and Curbs - Section 02500 Water Distribution Systems - Section 02660 Drainage Systems - Section 02720

- 1.03 QUALITY ASSURANCE:
  - A. General: Comply with requirements of Section 01400 SUBMITTALS; QUALITY REQUIREMENTS.

- B. Codes and Standards: The Work under this Section shall conform to the following, except as may be modified herein:
  - 1. American Society for Testing and Materials (ASTM), Standard Specifications and Methods of Testing.
    - D422Method for Particle Size Analysis of Soils
    - D698Test for Moisture-Density Relations of Soils Using 5.5 lb.
       (2.5 kg) Rammer and 12 inch (304.8mm) Drop (Standard Proctor)
    - D1557 Test for Moisture-Density Relations of Soils using 10-lb (4.5 kg) Rammer and 18-inch (457 mm) Drop (Modified Proctor)
    - D2922 Tests for Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
    - D3017 Test for Moisture Content of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
  - 2. American Association of State Highway and Transportation Officials (AASHTO), Latest Edition: T 88 Mechanical Analysis of Soils
  - 3. State of Maine, Department of Transportation, Standard Specifications, Highways and Bridges, Latest Edition.
- 1.04 SUBMITTALS:
  - A. Furnish samples, test reports, and materials certifications prepared by soils testing laboratory as required for gravel base and sub-base. Certifying, by the Contractor, that the materials comply with, or exceed the requirements herein.
  - B. Test Results:
    - 1. Mechanical analysis (ASTM D422) and moisture-density curve (ASTM D1557M) test results for gravel subbase and base courses.
    - 2. Field Density test results (ASTM D2922).
- 1.05 PRODUCT HANDLING:
  - A. Store materials properly to prevent damage, deterioration and inclusion of foreign matter. Aggregates shall be stockpiled in a well-drained location.
- PART 2 PRODUCTS
- 2.01 MATERIALS:
  - A. Gravel Subbase: Clean gravel of hard durable particles free from vegetable matter, lumps or balls of clay and other deleterious substances. The gradation of the part that passes a 3- inch sieve shall meet the grading requirements of MDOT Specifications Section 703.06(b), Type D, as presented below:

Sieve Designation Percentage by Weight

Passing Square Mesh Sieve 6" 100 1/4 in. 25-70 No. 40 0-30 No. 200 0-7.0

Aggregate for subbase shall not contain particles of rock which will not pass the 6-inch square mesh sieve.

C. Aggregate Base: Screened or crushed gravel of hard durable particles free from vegetable matter, lumps or balls of clay and other deleterious substances. The shall meet the grading requirement of Maine DOT Specification, Section 703.06(a), Type A, as presented below:

Sieve Designation Percentage by Weight Passing Square Mesh Sieve 2" 100 1/2 in. 45-70 1/4 in. 30-55 No. 40 0-20 No. 200 0-5.0

Type A aggregate for base shall not contain particles of rock which will not pass the 2-inch square mesh sieve.

- PART 3 EXECUTION
- 3.01 SUBGRADE PREPARATION FOR GRAVEL BASE COURSES:
  - A. Form, shape and roll subgrade to conform to subgrade elevations and crosssection of finished pavement. Roller: ten-ton minimum weight in all open areas; suitable equipment in confined spaces.
  - B. Remove stones greater than 4" from subgrade to I2" depth. Fill depressions with suitable material. When surface areas become impervious due to concentrations of fines, lightly scarify and re-compact. In severe cases, remove such material and replace with suitable soil as directed.
  - C. Compact subgrade to 92% of maximum laboratory density (ASTM-D 2922; Nuclear Method Density). Shape to smooth surface free of irregularities. Protect from damage by proper construction of drainage swales as shown and directed, prior to placement of gravel subbase.
- 3.02 INSPECTION AND TESTING:
  - A. Prior to placement of any subbase gravel, the Project Representative shall review subgrade conditions.
  - B. See Item 1.04 of this Section for required tests and test reports.

- C. Refer to Section 01416, for general standards and locations for testing. The Project Representative or his representative will designate specific locations for testing.
- 3.03 GRAVEL SUBBASE:
  - A. Obtain acceptance by the Project Representative of gravel material prior to delivery to the site.
  - B. On prepared approved subgrade construct gravel subbase to conform to details on Drawings and these Specifications. Gravel shall consist of sound gravel particles free of thin shale, clay or organic material, with no stone over 6" in size, as specified in SS 2.01 B.
  - C. Construct subbase in one course when compacted depth required is 8" or less and two or more courses when depth required is over 8". Compact each course to 95% of maximum laboratory density (ASTM-D2922: Nuclear Method Density). Course thicknesses required are after compaction.
  - D. Conform construction methods to MDOT Standard Specifications, Section 304.03 and 304.04, and these Specifications.
- 3.04 AGGREGATE BASE:
  - A. Obtain acceptance by the Project Representative of aggregate material prior to delivery to the site.
  - B. On compacted subbase, construct aggregate base to lines and grades to conform with details on Drawings and these Specifications. Coordinate base course construction with granite or bituminous curb installation, and with cobble gutter when present.
  - C. Construct base in one course when compacted depth required is 6" or less and two or more courses when compacted depth required is over 6". Compact each course to 95% of maximum laboratory density (ASTM D2922: Nuclear Method Density). Thickness required is after final compaction.
  - D. Construction methods shall conform to MDOT Standard Specifications, Section 304.03, 304.04 and 304.05, and these Specifications.
  - 3.05 AGGREGATE SHIMMING
  - A. On existing base material to receive pavement, shape aggregate base to lines and grades to conform with details on Drawings and these Specifications. Add additional aggregate base material as required to bring to proper grades. Compact to 95% of maximum laboratory density (ASTM D2922: Nuclear Method Density)
- 3.06 GRADING:
  - A. Comply with Section 02210-Grading.

END OF SECTION

# GEOTECHNICAL ENGINEERING SERVICES CITY HALL – PLAZA RENOVATIONS 389 CONGRESS STREET PORTLAND, MAINE

06-0700.1

August 15, 2006

# Prepared for:

City of Portland Attn: Matt Fitzgerald City of Portland Purchasing Department 389 Congress Street Portland, Maine 04101





286 Portland Road Gray, Maine 04039

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• Geotechnical Engineering • Field & Lab Testing • Scientific & Environmental Consulting

06-0700.1

August 15, 2006

City of Portland Attention: Matt Fitzgerald City of Portland Purchasing Department 389 Congress Street Portland, Maine 04101

Subject: Geotechnical Engineering Services City Hall – Plaza Renovations 389 Congress Street Portland, Maine

Dear Mr. Fitzgerald:

In accordance with our Agreement dated June 28, 2006, we have made a subsurface investigation for the City Hall Plaza Renovations at 389 Congress Street in Portland, Maine. This report summarizes our findings and geotechnical recommendations relative to the plaza renovation. The contents of this report are subject to the limitations set forth in Attachment A.

## **1.0 INTRODUCTION**

## 1.1 Scope of Work

The purpose of our work was to obtain subsurface information in order to provide geotechnical consultation for the proposed project. Our scope of work included four test boring explorations, geotechnical laboratory testing, a geotechnical evaluation of the subsurface findings relative to the proposed construction and preparation of this report.

## **1.2 Proposed Construction**

We understand that as part of the overall renovation project, the existing stone and concrete plaza is to be removed and reconstructed. We understand the stone will be re-used, but the concrete portion will be replaced.

#### GRAY, ME OFFICE

286 Portland Road, Gray, ME 04039-9586 = Tel (207) 657-2866 = Fax (207) 657-2840 = E-Mail infogray@swcole.com = www.swcole.com

Other offices in Augusta, Bangor, and Caribou, Maine & Somersworth, New Hampshire



# 2.0 EXPLORATION AND TESTING

# 2.1 Exploration

Four test borings (B-1 to B-4) were made in the plaza area on July 14, 2006 by Northern Test Boring of Gorham, Maine working under subcontract to S. W. COLE ENGINEERING, INC. The exploration locations were selected by Resurgence Engineering. The test borings were drilled to depths of 10.3 to 13 feet. Approximate boring locations are shown on the "Exploration Location Plan" attached as Sheet 1. Logs of the test borings are attached as Sheets 2 through 5. A key to the notes and symbols used on the logs is attached as Sheet 6.

# 2.2 Testing

Standard penetration tests (SPT) were performed during drilling using a split-spoon sampler. SPT blow counts are shown on the boring logs. Laboratory testing was performed on selected samples recovered from the test borings. The results of moisture content (ASTM D2216) testing are shown on the logs. The results of gradation tests (ASTM C117) are attached as Sheets 7 through 12.

# 3.0 SITE AND SUBSURFACE CONDITIONS

# 3.1 Site Conditions

The existing plaza is situated on the easterly side of the existing Portland City Hall and consists of stone pavers and concrete slabs. The plaza is at about sidewalk elevation.

# 3.2 Subsurface Conditions

The concrete slab was observed to be about 4 inches thick at borings B-1 and B-4. The existing stone pavers were on the order of 4 to 6 inches thick overlying a thin grout pad at borings B-2 and B-3. Below the concrete and granite sidewalks the borings generally encountered a black sandy ash layer 1 to 5 feet thick. Below the black ash fill a layer of fill consisting of silty sand and sand with some gravel and ash was encountered to depths of about 5 to 7 feet at borings B-1, B-2, and B-4. What appears to be native brown silty sand was encountered below the fill. Borings B-1, B-2, and B-3 were terminated in the native soils at depth of about 10.5 feet. A refusal surface, interpreted to be bedrock, was encountered in boring B-4 at 13 feet below the ground surface.



# **3.3 Groundwater Conditions**

No free water was observed in the completed boreholes. Boring B-2 encountered saturated soils from 4 to 6.5 feet below the surface. In general, groundwater will fluctuate seasonally and in response to precipitation and snow melt.

# 3.4 Frost Conditions

The design freezing index for the Portland, Maine area is approximately 1,250 Fahrenheit degree-days, which corresponds to a frost penetration depth of 4.5 feet.

# 4.0 EVALUATIONS AND RECOMMENDATIONS

# 4.1 General

Based on our understanding of the proposed construction, the subsurface exploration findings, and the laboratory testing, we offer the following comments:

- The ash and fill soils are not suitable for support of the proposed pavements and are frost susceptible.
- Appropriate base materials and/or insulation should be used to reduce the potential for freeze-thaw damage.
- Adequate drainage should be provided to reduce the likelihood of seasonally saturated subsoils and potential freeze-thaw damage to concrete slabs and stone pavers.

# 4.2 Subgrade Preparation

All existing surface materials and curbing should be removed from the area of the plaza reconstruction. The subgrade should be proof rolled with at least 4 passes of a 5 ton steel drum roller in static mode prior to placement of the reconstructed pavement section. Any soft or wet areas should be overexcavated and replaced with compacted MDOT 703.06 Type D material. S. W. COLE ENGINEERING, INC. should be on-site to observe excavation and subgrade preparation.

# **4.3 Frost Considerations**

Frost penetration can be on the order of 4.5 feet or more in this area of the state. In the absence of full depth excavation of frost susceptible soils or use of insulation, frost will penetrate into the subgrade and some frost heaving and distress of concrete and stone



pavement must be anticipated. It is recommended that a full depth reconstruction consisting of non-frost susceptible soils or an insulated pavement section be utilized to reduce frost potential.

# **4.4 Pavement Sections**

The following sections are recommended for use in the plaza reconstruction. All subbase and base courses should be compacted to at least 95 percent of their maximum dry density as determined by ASTM D1557. Materials are based on Maine Department of Transportation specifications. It is understood that a granular bedding layer will be used under the stone pavers for fine grading. It is recommended that a clean, crushed sand or similar free-draining material be used for the bedding layer. We also understand that a thin grout layer will likely be utilized directly below the stone pavers.

Recommended Pavement Section									
	Layer Thickness								
Layer	Stone Pavers	Concrete Slab							
Bedding	By others	N/A							
MDOT 703.06 Type A Base Course	12 inches	12 inches							
MDOT 703.06 Type D Subbase (modified to 3 inch minus)	40 inches	40 inches							
Total	52 inches	52 inches							

# 4.4.1 Soil Section

Note: No asphalt reclaim in the base or subbase materials.



# 4.4.2 Insulated Section

Recommended Pavement Section									
	Layer Thickness								
	Stone Pavers	Concrete Slab							
Bedding	By others	N/A							
MDOT 703.06 Type A Base Course	12 inches	12 inches							
High Density Rigid Insulation (suitable for below grade use)	2 inches	2 inches							
MDOT 703.06 Type A Base Course	8 inches	8 inches							
Total	22 inches	22 inches							

Note: No asphalt reclaim in the base or subbase materials.

# 4.5 Subgrade Modulus

Concrete sidewalks may be designed using a subgrade reaction modulus of 150 pci (pounds per cubic inch) provided the slab is underlain by at least 12 inches of compacted MDOT 703.06 Type A base course placed over properly prepared subgrades.

# 4.6 Drainage and Grading

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No free water was encountered during the explorations; however, it is likely that the subsoils may become saturated seasonally. The durability of the pavement structure may be affected by periods of saturated soils, particularly during freeze-thaw cycles. Consideration should be given to the development of both surface and subgrade drainage. Subgrades should be sloped to promote groundwater movement towards the periphery and/or perimeter underdrains. The plaza surface area should be graded to promote surface drainage toward the periphery and toward catch basins. Underdrains should be installed around the perimeter of the reconstructed plaza to enhance drainage. Underdrains should be installed at least 4.5 feet below finished grade for frost protection and should have positive gravity outlets. Four inch perforated drain pipes wrapped in a filter sock should be utilized for perimeter drains.



## 4.7 Design Review and Construction Testing

S. W. COLE ENGINEERING, INC. should be engaged to review the construction documents prior to construction to confirm that our recommendations have been appropriately interpreted and implemented.

S. W. COLE ENGINEERING, INC. should be retained to provide testing and observation services during the plaza reconstruction. This is to observe compliance with the design recommendations, drawings and specifications and to allow design changes in the event that subsurface conditions are found to differ from those anticipated prior to the start of construction. S. W. COLE ENGINEERING, INC. is available to test soil, concrete, and other construction materials.

#### 5.0 CLOSURE

It has been a pleasure to be of assistance to you with this phase of your project. We look forward to working with you as the design progresses and during construction.

Very truly yours,

## S. W. COLE ENGINEERING, INC.



c: Jeffrey T. Read, P. E. – Pinkham & Greer Consulting Engineers, Inc.
c: Alfred Hodson – Resurgence Engineering

c: Chad Merritt – Consigli

P12006\06-0700.1 S - City of Portland - Portland, ME - City Hall - Plaza Renovation s - 389 Congress St. - SSI - PFK\Reports and Letters\06-0700.1 DraftII 08-15-06Plaza Report.doc

# Attachment A Limitations

This report has been prepared for the exclusive use of the City of Portland for specific application to the proposed Plaza Renovations at 389 Congress Street in Portland, Maine. S. W. COLE ENGINEERING, INC. has endeavored to conduct the work in accordance with generally accepted soil and foundation engineering practices. No other warranty, expressed or implied, is made.

The soil profiles described in the report are intended to convey general trends in subsurface conditions. The boundaries between strata are approximate and are based upon interpretation of exploration data and samples.

The analyses performed during this investigation and recommendations presented in this report are based in part upon the data obtained from subsurface explorations made at the site. Variations in subsurface conditions may occur between explorations and may not become evident until construction. If variations in subsurface conditions become evident after submission of this report, it will be necessary to evaluate their nature and to review the recommendations of this report.

Observations have been made during exploration work to assess site groundwater levels. Fluctuations in water levels will occur due to variations in rainfall, temperature, and other factors.

S. W. COLE ENGINEERING, INC.'s scope of work has not included the investigation, detection, or prevention of any Biological Pollutants at the project site or in any existing or proposed structure at the site. The term "Biological Pollutants" includes, but is not limited to, molds, fungi, spores, bacteria, and viruses, and the byproducts of any such biological organisms.

Recommendations contained in this report are based substantially upon information provided by others regarding the proposed project. In the event that any changes are made in the design, nature, or location of the proposed project, S.W.COLE ENGINEERING, INC. should review such changes as they relate to analyses associated with this report. Recommendations contained in this report shall not be considered valid unless the changes are reviewed by S. W. COLE ENGINEERING, INC.



P:\2006\06-0700.1 S - City of Portland - Portland, ME - City Hall - Plaza Renovations - 389 Congress St. - SSI - PFK\Plans & Details\06-0700.1 EXP LOC.dwg, 8.5 x 11, 8/3/2006 9:55:15 AM, DRay, Gray LaserJet 2200.pc3, Letter, 1:1

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		ENG	INE	ERII	NG,I	NC.				PROJECT NO.: 06-07		
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CLIENT	:		CITY	OF POR	TLANE	)				DATE FINISH: 7/14/		
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	2D	2.0'	0.7	4.3'	1	1	1	8		~ LOOSE ~		
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	3D	2.0'	0.7'	6.3'	7	2	2	3	7'+/-			
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	2D	2.0'	0.8	4.5'	2	1	2	6		- LOOSE ~ W = 15.2%
					-					W = 11.4%
· ·	3D	2.0'	0.7'	6.5'	1	2	6	14	7'+/-	BROWN SILTY SAND AND GRAVEL WITH SOME BRICK PIECES (F
	4D	2.0'	1.2'	8.5'	14	19	16	19		~ DENSE ~ BROWN SAND AND SILT. TRACE GRAVEL
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	2D	2.0'	0.5'	4.5'	2	4	8	9	5'+/-	
			1							~ MEDIUM DENSE BECOMING
	3D	2.0'	1.3'	6.5'	9	6	5	9	] [	BROWN SILTY SAND, SOME GRAVEL
									1	W = 14.6%
	4D	2.0'	2.0'	8.5'	12	15	16	18		W = 11.1%
									10.5'	DENSE ~
	5D	2.0'	2.0'	10.5	15	19	22	27		
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A	SWCOLE
	ENGINEERING, INC.

CITY OF PORTLAND

PORTLAND, MAINE

TYPE

SSA

SS

PROPOSED CITY HALL PLAZA RENOVATIONS

SIZE I.D. HAMMER WT. HAMMER FALL

140 LB

NORTHEAST TEST BORINGS, INC.

2 1/2"

1 3/8"

PROJECT:

DRILLING FIRM:

CLIENT : LOCATION:

CASING:

SAMPLER:

# **BORING LOG**

DRILLER: BUBBA

30"

BORING NO .:	B-4								
SHEET:	1 OF 1								
PROJECT NO .:	06-0700.1 S								
DATE START:	7/14/2006								
DATE FINISH:	7/14/2006								
ELEVATION:	NOT AVAILABLE								
SWC REP .:	PFK								
WATER LEVEL INFOR	WATER LEVEL INFORMATION								

NO FREE WATER OBSERVED 

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CORE E	BARREL	.:			100					SOILS MOIST
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									4"+/-	CONCRETE SIDEWALK
										~LOOSE ~
	1D	2.0'	0.8'	2.3'	3	5	6	3	3'+/-	BLACK SANDY ASH (FILL)
										BROWNISH GRAY SAND, SOME SILT AND BROWN SILTY FINE SAND,
	2D	2.0'	1.0'	4.3'	2	3	5	11	5'+/-	SOME GRAVEL (FILL) ~ LOOSE ~
									]	~ MEDIUM DENSE BECOMING
	3D	2.0'	1.6'	6.3'	7	7	9	15		
									]	BROWN SILTY SAND, SOME GRAVEL
	4D	2.0'	1.7'	8.3'	7	11	17	24		
									10.3'	DENSE ~
	5D	2.0'	2.0'	10.3'	15	18	17	19	•••••	
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• Geotechnical Engineering • Field & Lab Testing • Scientific & Environmental Consulting

# KEY TO THE NOTES & SYMBOLS Test Boring and Test Pit Explorations

All stratification lines represent the approximate boundary between soil types and the transition may be gradual.

#### Key to Symbols Used:

- w water content, percent (dry weight basis)
- q<sub>u</sub> unconfined compressive strength, kips/sq. ft. based on laboratory unconfined compressive test
- $S_v$  field vane shear strength, kips/sq. ft.
- L<sub>v</sub> lab vane shear strength, kips/sq. ft.
- q<sub>p</sub> unconfined compressive strength, kips/sq. ft. based on pocket penetrometer test
- O organic content, percent (dry weight basis)
- W<sub>L</sub> liquid limit Atterberg test
- W<sub>P</sub> plastic limit Atterberg test
- WOH advance by weight of hammer
- WOM advance by weight of man
- WOR advance by weight of rods
- HYD advance by force of hydraulic piston on drill
- RQD Rock Quality Designator an index of the quality of a rock mass. RQD is computed from recovered core samples.
- $\gamma_{T}$  total soil weight
- $\gamma_{\rm B}$  buoyant soil weight

#### Description of Proportions:

0 to 5% TRACE 5 to 12% SOME 12 to 35% "Y" 35+% AND

**REFUSAL:** <u>Test Boring Explorations</u> - Refusal depth indicates that depth at which, in the drill foreman's opinion, sufficient resistance to the advance of the casing, auger, probe rod or sampler was encountered to render further advance impossible or impracticable by the procedures and equipment being used.

**REFUSAL:** <u>Test Pit Explorations</u> - Refusal depth indicates that depth at which sufficient resistance to the advance of the backhoe bucket was encountered to render further advance impossible or impracticable by the procedures and equipment being used.

Although refusal may indicate the encountering of the bedrock surface, it may indicate the striking of large cobbles, boulders, very dense or cemented soil, or other buried natural or man-made objects or it may indicate the encountering of a harder zone after penetrating a considerable depth through a weathered or disintegrated zone of the bedrock.



ASTM C-117 & C-136

JUSTIN BISSON

Tested By

Project Name	PORTLAND - 389 CONGRESS STREET - CITY HALL - PLAZA	Project Number	06-0700.1
<b>.</b>	RENOVATIONS - GEOTECHNICAL ENGINEERING SERVICES	Lab ID	5413G
Client	CITY OF PORTLAND	Date Received	7/14/2006
		Date Complete	7/17/2006

Material Source B2 S1 0.5-2.5

STANDARD DESIGNATION (mm/µm)	SIEVE SIZE	AMOUNT PASSING (%	)
150 mm	6"	100	
125 mm	5''	100	
100 mm	4"	100	
75 mm	3"	100	
50 mm	2"	100	
38.1 mm	1-1/2"	100	
. 25.0 mm	1"	100	
19.0 mm	3/4"	100	
12.5 mm	1/2"	90	
6.3 mm	1/4''	79	
4.75 mm	No. 4	73	27.2% Gravel
2.00 mm	No. 10	57	
850 um	No. 20	44	
<b>425</b> um	No. 40	34	56.8% Sand
250 um	No. 60	28	
150 um	No. 100	22	
75 um	No. 200	16.0	16% Fines



Comments: w = 30.0%

Sheet 7



ASTM C-117 & C-136

Tested By

JUSTIN BISSON

Project Name	PORTLAND - 389 CONGRESS STREET - CITY HALL - PLAZA	Project Number	06-0700.1
<b>.</b>	RENOVATIONS - GEOTECHNICAL ENGINEERING SERVICES	Lab ID	5414G
Client	CITY OF PORTLAND	Date Received	7/14/2006
		Date Complete	7/17/2006

Material Source B2 S2 2.5-4.5

<u>STANDARD</u> DESIGNATION (mm/µm)	<u>SIEVE SIZE</u>	AMOUNT PASSING (%	2
150 mm	6"	100	
125 mm	5"	100	
100 mm	4''	100	
75 mm	3"	100	
50 mm	2"	100	
38.1 mm	1-1/2"	100	
25.0 mm	1"	100	
19.0 mm	3/4''	92	
12.5 mm	1/2"	86	
6.3 mm	1/4''	76	
4.75 mm	No. 4	74	25.6% Gravel
2.00 mm	No. 10	67	
850 um	No. 20	57	
<b>4</b> 25 um	No. 40	44	58.6% Sand
250 um	No: 60	32	
150 um	No. 100	24	
75 um	No. 200	15.8	15.8% Fines





ASTM C-117 & C-136

Tested By

JUSTIN BISSON

Project Name Client	PORTLAND - 389 CONGRESS STREET - CITY HALL - PLAZA RENOVATIONS - GEOTECHNICAL ENGINEERING SERVICES CITY OF PORTLAND	Project Number	06-0700.1
		Lab ID	5415G
		Date Received	7/14/2006
		Date Complete	7/17/2006

Material Source B2 S3 4.5-6.5

<u>STANDARD</u> DESIGNATION (mm/µm)	SIEVE SIZE	AMOUNT PASSING (%)	l
150 mm	6"	100	
125 mm	5"	100	
100 mm	4"	100	
75 mm	3"	100	
50 mm	2"	100	
38. <b>1</b> mm	1-1/2"	100	
25.0 mm	1"	100	
19.0 mm	3/4''	100	
12.5 mm	1/2''	71	
6.3 mm	1/4"	63	
4.75 mm	No. 4	62	38.3% Gravel
2.00 mm	No. 10	54	
850 um	No. 20	46	
425 um	No. 40	37	48.1% Sand
250 um	No. 60	28	
150 um	No. 100	21	
75 um	No. 200	13.6	13.6% Fines





ASTM C-117 & C-136

Tested By

JUSTIN BISSON

Project Name Client	PORTLAND - 389 CONGRESS STREET - CITY HALL - PLAZA	Project Number	06-0700.1
	RENOVATIONS - GEOTECHNICAL ENGINEERING SERVICES CITY OF PORTLAND	Lab ID	5416G
		Date Received	7/14/2006
		Date Complete	7/17/2006

Material Source B2 S5 8.5-10.5

<u>STANDARD</u> DESIGNATION (mm/µm)	SIEVE SIZE	AMOUNT PASSING (%	1
		,	
150 mm	6''	100	
125 mm	5"	100	
100 mm	4''	100	
75 mm	3''	100	
50 mm	2"	100	
38.1 mm	1-1/2"	100	
25.0 mm	1"	100	
19.0 mm	3/4"	100	
12.5 mm	1/2"	100	
6.3 mm	1/4"	98	
4.75 mm	No. 4	97	2.8% Gravel
2.00 mm	No. 10	92	
850 um	No. 20	84	
425 um	No. 40	72	61.3% Sand
250 um	No. 60	61	
150 um	No. 100	49	
75 um	No. 200	35.9	35.9% Fines





ASTM C-117 & C-136

Tested By

JUSTIN BISSON

Project Name Client	PORTLAND - 389 CONGRESS STREET - CITY HALL - PLAZA	Project Number	06-0700.1
	RENOVATIONS - GEOTECHNICAL ENGINEERING SERVICES CITY OF PORTLAND	Lab ID	5410G
		Date Received	7/14/2006
		Date Complete	7/17/2006

Material Source B3 S3 4.5-6.5

<u>STANDARD</u> DESIGNATION (mm/µm)	SIEVE SIZE	AMOUNT PASSING (%	<u>a)</u>
150 mm	6''	100	
125 mm	5''	100	
100 mm	4''	100	
75 mm	3"	100	
50 mm	2"	100	
38.1 mm	1-1/2"	100	
25.0 mm	1"	100	
19.0 mm	3/4''	100	
12.5 mm	1/2"	95	
6.3 mm	1/4"	93	
4.75 mm	No. 4	92	8% Gravel
2.00 mm	No. 10	88	
850 um	No. 20	80	
425 um	No. 40	68	68.4% Sand
250 um	No. 60	57	
150 um	No. 100	41	
75 um	No. 200	23.6	23.6% Fines



.



ASTM C-117 & C-136

 
 Project Name
 PORTLAND - 389 CONGRESS STREET - CITY HALL - PLAZA RENOVATIONS - GEOTECHNICAL ENGINEERING SERVICES

 Client
 CITY OF PORTLAND

Material Source B3 S4 6.5-8.5

<u>STANDARD</u> DESIGNATION (mm/µm)	<u>SIEVE SIZE</u>	AMOUNT PASSING (%	1
150 mm	6"	100	
125 mm	5"	100	
100 mm	4"	100	
75 mm	3"	100	
50 mm	2''	100	
38.1 mm	1- <b>1</b> /2''	100	
25.0 mm	1"	100	
19.0 mm	3/4"	100	
12.5 mm	1/2"	100	
6.3 mm	1/4"	96	
4.75 mm	No. 4	95	4.9% Gravel
2.00 mm	No. 10	89	
850 um	No. 20	79	
425 um	No. 40	66	67.5% Sand
250 um	No. 60	53	
150 um	No. 100	41	
75 um	No. 200	27.6	27.6% Fines



Comments: w = 11.1%

# Portland City Plaza Restora

389 Congress Str Portland, Maine

> 15 December 2006 Bid # 2207

> > ttl-architects, llc

28 DANFORTH STREET PORTLAND, MAINE 04101 TEL. 207.761.9662 FAX. 207.761.9696

**PINKHAM & GREER** 

CONSULTING ENGINEERS, INC. 380 US ROUTE ONE FALMOUTH, MAINE TEL. 207.781.5242





<sup>12.</sup> CONTRACTOR SHALL COMPLY WITH ALL FEDERAL, STATE AND LOCAL REGULATORY REQUIREMENTS,

1/16" = 1'-0" SEE DRAWING S-1 FOR TYPICAL FOUNDATION (

A-1















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TYP.

NOTE: COOR & N ARCH. D <u>TYP. -</u> N.



SECTION 6/A4